

# CHOW FUNCTIONS FOR PARTIALLY ORDERED SETS

LUIS FERRONI, JACOB P. MATHERNE, AND LORENZO VECCHI

**ABSTRACT.** Three decades ago, Stanley and Brenti initiated the study of the Kazhdan–Lusztig–Stanley (KLS) functions, putting on common ground several polynomials appearing in algebraic combinatorics, discrete geometry, and representation theory. In the present paper we develop a theory that parallels the KLS theory. To each kernel in a given poset, we associate a polynomial function that we call the *Chow function*. The Chow function often exhibits remarkable properties, and sometimes encodes the graded dimensions of a cohomology or Chow ring. The framework of Chow functions provides natural polynomial analogs of graded module decompositions that appear in algebraic geometry, but that work for arbitrary posets, even when no graded module decomposition is known to exist. In this general framework, we prove a number of unimodality and positivity results without relying on versions of the Hard Lefschetz theorem. Our framework shows that there is an unexpected relation between positivity and real-rootedness conjectures about chains on face lattices of polytopes by Brenti and Welker, Hilbert–Poincaré series of matroid Chow rings by Ferroni and Schröter, and flag enumerations on Bruhat intervals of Coxeter groups by Billera and Brenti.

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## 1. INTRODUCTION

**1.1. Overview.** In the foundational paper [Sta92], Stanley developed a notable framework to study polynomials arising from partially ordered sets. This puts on common ground and unifies several—a priori unrelated—theories that are of fundamental importance in mathematics. Three prominent examples are i) the enumeration of points, lines, planes, etc. in a matroid, ii) the enumeration of faces in convex polytopes, and iii) the combinatorics and representation theory associated to Coxeter groups.

Following another influential paper by Brenti [Bre99], we will refer to this as the Kazhdan–Lusztig–Stanley (KLS) theory for posets. We point to a recent survey by Proudfoot [Pro18] for a self-contained introduction to KLS theory and its algebro-geometric

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consequences. In what follows we summarize the basic setup of the KLS theory, following closely the notation of [Pro18]. In Section 2 we provide more detail about the construction of the main objects.

Assume that  $P$  is a locally finite, weakly ranked, partially ordered set, and let  $\text{Int}(P)$  be the set of all closed intervals of  $P$ . We denote by  $\rho: \text{Int}(P) \rightarrow \mathbb{Z}$  the weak rank function of  $P$ . Consider the incidence algebra  $\mathcal{F}(P)$  of  $P$  over the univariate polynomial ring  $\mathbb{Z}[x]$ . The weak rank function  $\rho$  gives rise to the subalgebra  $\mathcal{F}_\rho(P) \subseteq \mathcal{F}(P)$  consisting of the elements  $f \in \mathcal{F}(P)$  such that  $\deg f_{st} \leq \rho_{st}$  for each closed interval  $[s, t]$ . Stanley realized the importance of special elements  $\kappa \in \mathcal{F}_\rho(P)$  which are called  $(P, \rho)$ -kernels or, when  $\rho$  is understood from context, just  $P$ -kernels. To each such kernel  $\kappa$  one associates two important elements  $f, g \in \mathcal{F}_\rho(P)$ . The element  $f$  (resp.  $g$ ) is often called the right (resp. left) Kazhdan–Lusztig–Stanley (KLS) function associated to the  $(P, \rho)$ -kernel  $\kappa$ .

In each of the three examples mentioned in the first paragraph, the posets and the kernels are, respectively, i) the lattice of flats of a matroid with the characteristic function as kernel, ii) the face lattice of a convex polytope with the kernel  $[s, t] \mapsto (x - 1)^{\dim t - \dim s}$ , and iii) the strong Bruhat order poset of a Coxeter group with the  $R$ -polynomials as kernel. In these three cases the posets are graded and bounded, and the assignment  $[s, t] \mapsto \rho_{st}$  is given by the length of an arbitrary saturated chain starting at  $s$  and ending at  $t$ . Correspondingly, the KLS functions that arise in each of these cases are i) the Kazhdan–Lusztig polynomial of the matroid defined by Elias, Proudfoot, and Wakefield in [EPW16], ii) the toric  $g$ -polynomial of the polytope introduced by Stanley [Sta87], and iii) the Kazhdan–Lusztig polynomial(s) of the Coxeter group discovered by Kazhdan and Lusztig [KL79].

The central contribution of the present work is the introduction of a new class of functions, that we call *Chow functions*, associated to any  $(P, \rho)$ -kernel  $\kappa$ . As opposed to the case of the KLS functions where a convention of left versus right constitutes an essential part of the definition, in our case there is a single distinguished element  $H \in \mathcal{F}_\rho(P)$  called the  $\kappa$ -Chow function associated to  $(P, \rho)$ . Notably, the KLS functions are required to satisfy a very restrictive degree bound:  $\deg f_{st} < \frac{1}{2}\rho_{st}$  and  $\deg g_{st} < \frac{1}{2}\rho_{st}$  for each  $s < t$ . In our case, the Chow function  $H$  satisfies a weaker degree bound:  $\deg H_{st} < \rho_{st}$  for each  $s < t$  but, in order to compensate the additional degrees of freedom, one imposes that the polynomials  $H_{st}$  are *palindromic*.

As we will demonstrate in this paper, Chow functions and KLS functions are tightly related to each other. Often, properties of one have an impact on the other. The most significant example of this phenomenon in the present paper is the following result.

**Theorem 1.1** *Let  $\kappa$  be a  $(P, \rho)$ -kernel. If the right KLS function  $f$  or the left KLS function  $g$  is non-negative, then the Chow function  $H$  is non-negative and unimodal.*

Whenever we say that an element  $a \in \mathcal{F}(P)$  is non-negative (resp. unimodal, symmetric,  $\gamma$ -positive, etc.) we mean that each of the polynomials  $a_{st}(x)$  is non-negative (resp. unimodal, symmetric,  $\gamma$ -positive, etc.)

We prove Theorem 1.1 motivated by a module decomposition called the *canonical decomposition* of the matroid Chow ring in [BHM<sup>+</sup>22b] (see Theorem 3.9 below). Furthermore, our proof is entirely combinatorial, in the sense that we do not deal with any algebraic structures but only with polynomials. Notice that the above theorem yields unimodality results in the three aforementioned main examples. This is because the KLS functions were proved to be non-negative in groundbreaking papers: i) by Braden, Huh, Matherne,

Proudfoot, and Wang [BHM<sup>+</sup>22b] via the introduction of the matroid intersection cohomology<sup>1</sup>; ii) by Karu in [Kar04] building upon earlier work of McMullen [McM93], Barthel, Brasselet, Fieseler, and Kaup [BBFK02], and Bressler and Lunts [BL03]; and iii) by Elias and Williamson [EW14] via the machinery of Soergel bimodules [Soe90], and relying on techniques by De Cataldo and Migliorini [dCM02, dCM05].

The main inspiration behind the definition of Chow functions, and in fact the reason behind the choice of this name, stems from the first on-going example concerning matroids. The Chow function encodes the Hilbert series of the Chow rings of all minors of a matroid. These Chow rings were introduced by Feichtner and Yuzvinsky in [FY04] and played a primary role in the resolution of long-standing conjectures in combinatorics [AHK18, ADH23, BHM<sup>+</sup>22b]; for amenable surveys we refer to [Oko23, Huh23, Ard23, Eur24]. The case of Chow functions arising from matroids was the main theme of a previous paper written in collaboration with Matthew Stevens [FMSV24].

A further motivation to develop the theory in the present paper was to understand to what extent one can hope to derive other versions of some crucial module decompositions concerning matroid intersection cohomologies, by Braden, Huh, Matherne, Proudfoot, and Wang in [BHM<sup>+</sup>22a, BHM<sup>+</sup>22b]. We came to realize that a number of the module decompositions that constitute the intricate induction appearing in [BHM<sup>+</sup>22b] can be shadowed step by step, but working instead with *polynomials* rather than *graded modules*. There are some advantages in this approach.

- Our framework does not require us to work with matroids nor posets with characteristic polynomials displaying any specific sign pattern in their coefficients, see Section 4. More so, we can apply these constructions to the examples of face lattices of polytopes (Section 5) or Bruhat intervals (Section 6).
- We are able to state results that would not be possible to obtain by taking graded dimensions of any module or ring (see for example Theorem 3.9, Theorem 3.16, and Theorem 3.10). A priori, our identities may involve polynomials that cannot possibly be Hilbert series or Poincaré polynomials, e.g., when one of the coefficients is negative.
- We are able to provide combinatorial proofs of statements that were known to be valid via the application of difficult results from algebraic geometry, and we achieve so for more general classes of posets (see the discussion around Theorem 4.18 and Theorem 4.20).
- This framework is amenable to build upon intuition from one setting (say, polytopes) and use it in another one (say, Coxeter groups). For example, the use of the **cd**-index in the case of polytopes in Section 5 led us to consider in Section 6 the complete **cd**-index of Bruhat intervals introduced by Billera–Brenti [BB11].

In addition to the key object  $H \in \mathcal{J}_\rho(P)$  introduced in this paper, we also study two related functions:  $F \in \mathcal{J}_\rho(P)$  the *right augmented Chow function*, and  $G \in \mathcal{J}_\rho(P)$  the *left augmented Chow function*. These are obtained by convolving  $H$  with the right and left KLS functions respectively (see Section 3.5 for the details), and they also exhibit remarkable properties. The element  $G$  plays a key role in the singular Hodge theory of matroids [BHM<sup>+</sup>22b], where it encodes the Hilbert–Poincaré series of augmented Chow rings (hence the name), but  $F$  is more subtle. Nonetheless, in the general context of this paper, there is no reason to prefer  $G$  over  $F$ , so we develop the theory in full generality. It is natural to formulate questions concerning what algebro-geometric objects they model, and we do so in Section 4.6.

<sup>1</sup>We note, however, that the *left* KLS function is trivial in this case.

**1.2. The three main examples.** A priori, we do not require the poset  $P$  to be bounded. However, in some important cases we are in this situation and will correspondingly denote  $\widehat{0} = \min P$  and  $\widehat{1} = \max P$ . We will refer to  $H_{\widehat{0}\widehat{1}}(x)$  as the *Chow polynomial* of  $P$ .

An important feature of Chow functions  $H$  is that they are symmetric. More precisely, each of the polynomials  $H_{st}(x)$  satisfies the identity

$$H_{st}(x) = x^{\rho_{st}-1} H_{st}(x^{-1}), \quad \text{for all } s < t \text{ in } P.$$

Without imposing additional restrictions on the poset or the kernel, Chow functions may fail to be unimodal, and in fact the coefficients of  $H_{st}(x)$  can even be negative, but Theorem 1.1 gives a striking criterion for unimodality.

**1.2.1. Characteristic Chow functions.** As is pointed out in [Pro18], the characteristic function  $\chi \in \mathcal{F}_\rho(P)$  is a  $P$ -kernel in any weakly ranked locally finite poset  $P$ , and lattices of flats of matroids are just a special case. In particular, there is no formal obstruction to consider the KLS functions  $f$  and  $g$  and the Chow function  $H$  arising from this setup. For the sake of clarity, we will refer to this Chow function  $H$  as the *characteristic Chow function* or, for brevity, the  $\chi$ -Chow function of  $(P, \rho)$ . In the matroid setting, one has the following result.

**Theorem 1.2** *Let  $M$  be a loopless matroid and let  $P = \mathcal{L}(M)$  be its lattice of flats. Then the characteristic Chow polynomial of  $P$  coincides with the Hilbert–Poincaré series of the Chow ring of  $M$ . In particular, it is unimodal.*

The first part of the above statement is proved in our prequel [FMSV24], whereas the second follows from the validity of the Hard Lefschetz theorem, proved by Adiprasito, Huh, and Katz [AHK18].

In [FMSV24] we proved a strengthening of unimodality in the above statement: the Hilbert–Poincaré series of a matroid Chow ring is in fact  $\gamma$ -positive [FMSV24, Theorem 1.8]. The main tool to prove that was a key result of Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22a], who established a semi-small decomposition for the Chow ring of a matroid.

In the present paper we deal with much more general posets, for which the Chow ring is not even defined. By applying our numerical analog of the canonical decomposition of matroid Chow rings from [BHM<sup>+</sup>22b] we have the following result.

**Theorem 1.3** *Let  $P$  be any graded bounded poset. The  $\chi$ -Chow polynomial of  $P$  is unimodal.*

Notice that this can be viewed as a corollary of Theorem 1.1, because the left KLS function is identically 1. The latter fact is just equivalent to the inclusion-exclusion principle. Most of the previous proofs of the above unimodality result (for geometric lattices only) relied on versions of the Hard Lefschetz theorem.

Besides unimodality, one may consider the stronger property of being  $\gamma$ -positive. For geometric lattices this property is known to hold true thanks to [FMSV24, Theorem 1.8]. We go far beyond geometric lattices and prove the following.

**Theorem 1.4** *Let  $P$  be any Cohen–Macaulay poset. The  $\chi$ -Chow polynomial of  $P$  is  $\gamma$ -positive.*

For a general Cohen–Macaulay poset there is no obvious way of defining the Chow ring and therefore no clear analogue of the semi-small decomposition of [BHM<sup>+</sup>22a]. The last statement generalizes a beautiful result by Stump [Stu24, Theorem 1.1], which was a key motivation for our proof. In [FS24, Conjecture 8.18], Ferroni and Schröter conjectured that

whenever  $P = \mathcal{L}(M)$  is the lattice of flats of a matroid  $M$ , then the Hilbert–Poincaré series of the Chow ring of  $M$  is a real-rooted polynomial. We formulate the stronger conjecture that this property also holds true for the  $\chi$ -Chow polynomials of all Cohen–Macaulay posets. Proving our conjecture would also imply another conjecture by Huh on the real-rootedness of Hilbert series of augmented Chow rings of matroids.

**Conjecture 1.5** Let  $P$  be any Cohen–Macaulay poset. The  $\chi$ -Chow polynomial of  $P$  is real-rooted.

1.2.2. *Eulerian Chow functions.* Whenever  $P$  is an Eulerian poset, the element  $\varepsilon \in \mathcal{F}_p(P)$  given by  $\varepsilon_{st} = (x-1)^{\rho_{st}}$  is a  $P$ -kernel. The resulting Chow function will be customarily called the *Eulerian Chow function*, or  $\varepsilon$ -Chow function for brevity, associated to  $P$ . We prove the following result.

**Theorem 1.6** *The Eulerian Chow polynomial of  $P$  equals the  $h$ -polynomial of the barycentric subdivision of  $P$ .*

By barycentric subdivision of a poset  $P$  we mean the simplicial complex whose faces are the flags of elements of  $P$ . We do not know whether Eulerian Chow polynomials are always non-negative. Moreover, we explain why we expect this question to be very subtle. By the positivity of the KLS functions proved in certain special cases (e.g., for face posets of simplicial polytopes [Sta80], of general polytopes [Kar04], or of simplicial spheres [Adi18, PP20]), Theorem 3.12 guarantees that the  $\varepsilon$ -Chow function is non-negative and unimodal. However, another deep result by Karu [Kar06] about the  $\mathbf{cd}$ -index of Gorenstein\* posets (that is, posets that are both Eulerian and Cohen–Macaulay) can be used to obtain the following stronger property.

**Theorem 1.7** *Let  $P$  be a Gorenstein\* poset. The  $\varepsilon$ -Chow function of  $P$  is  $\gamma$ -positive.*

It is natural to ask whether the above property can be upgraded to real-rootedness. That is equivalent to a long-standing folklore conjecture, posed as an open question by Brenti and Welker [BW08], when  $P$  is the face poset of a polytope.

**Conjecture 1.8** (see [BW08, Question 1]) Let  $P$  be the face poset of a polytope (or even just a Gorenstein\* poset). Then the  $\varepsilon$ -Chow polynomial of  $P$  is real-rooted.

The question for Gorenstein\* posets is strongly related to questions formulated by Athanasiadis and Tzanaki [AT21, Question 7.4] and by Athanasiadis and Kalamposia-Evangelinou [AKE23, Question 5.2].

1.2.3. *Coxeter Chow functions.* The chief example of KLS functions are precisely the Kazhdan–Lusztig polynomials of Bruhat intervals, defined by Kazhdan and Lusztig in [KL79]. The kernels in this case are the so-called  $R$ -polynomials. A powerful result by Dyer [Dye93] allows for the computation of the  $R$ -polynomials via a computation on Bruhat graphs. We use this to prove the following interpretation for the Chow function.

**Theorem 1.9** *Let  $W$  be a Coxeter group with a reflection order  $<$  and two elements  $u, v \in W$ . Then,*

$$H_{uv}(x) = \sum_{\Delta \in \mathcal{B}(u,v)} x^{\frac{\rho_{uv} - \ell(\Delta)}{2} + \text{asc}(\Delta)} = \sum_{\Delta \in \mathcal{B}(u,v)} x^{\frac{\rho_{uv} - \ell(\Delta)}{2} + \text{des}(\Delta)}.$$

In the above statement  $B(u, v)$  stands for all the paths in the Bruhat graph of  $W$  that go from  $u$  to  $v$ ,  $\ell(\Delta)$  stands for the length of the path  $\Delta$ ,  $\text{des}$  stands for the number of descents of the path, whereas  $\text{asc}$  stands for the number of ascents. In particular, the Chow function is enumerating these paths according to a descent-like statistic. We show that the combinatorial invariance conjecture for Chow functions is equivalent to the combinatorial invariance conjecture for Kazhdan–Lusztig or  $R$ -polynomials, see Theorem 6.16.

Thanks to the breakthrough of Elias and Williamson [EW14], and as a consequence of Theorem 1.1, we obtain that the above enumeration of paths yields a unimodal polynomial. By shadowing the discussion of the two previous examples, we are led to consider  $\gamma$ -positivity and real-rootedness. In the case of polytopes (or Gorenstein\* posets), the key tool to prove  $\gamma$ -positivity is the result on the  $\mathbf{cd}$ -index proved by Karu [Kar06]. In this case, we need to rely on a more complicated non-commutative polynomial called the *complete  $\mathbf{cd}$ -index*, introduced by Billera and Brenti [BB11]. We prove the following.

**Theorem 1.10** *Let  $W$  be a Coxeter group and let  $u < v$  in  $W$ . The  $\gamma$ -polynomial associated to the Coxeter Chow polynomial  $H_{uv}$  is a positive specialization of the complete  $\mathbf{cd}$ -index of the interval  $[u, v]$ .*

The precise positive specialization is proved in Corollary 6.11. Billera and Brenti conjecture the non-negativity of all the coefficients of the complete  $\mathbf{cd}$ -index for any interval in a Coxeter group, see [BB11, Conjecture 6.1]. Some special cases of that conjecture are known to be true (see, e.g., [Kar13], [FH15]), but it remains open in general. The preceding theorem implies that if Billera and Brenti’s conjecture is true, then the Coxeter Chow functions of a Coxeter group are  $\gamma$ -positive. That is, we have the following conjecture.

**Conjecture 1.11** *Coxeter Chow polynomials of Bruhat intervals of Coxeter groups are  $\gamma$ -positive.*

Emboldened by Conjecture 1.5 and Conjecture 1.8, and numerous experiments on Bruhat intervals of rank  $\leq 7$ , we also pose the following conjecture.

**Conjecture 1.12** *Coxeter Chow polynomials of Bruhat intervals of Coxeter groups are always real-rooted.*

**1.3. Paper outline.** In Section 2 we briefly recapitulate the key notions about polynomial inequalities and poset properties that we will need.

The chief contribution of this paper is the combinatorial framework of Chow functions developed in Section 3; we view this construction as a counterpart for Stanley’s development of the theory of KLS functions in [Sta92]. This section introduces Chow functions and augmented Chow functions, and here we study their general connection with KLS functions and  $Z$ -functions. In this section we prove various numerical analogues of graded module decompositions appearing in [BHM<sup>+</sup>22b].

Section 4 comprises the first central example of how to apply the machinery developed in Section 3: we introduce the  $\chi$ -Chow function and study a number of combinatorial properties that it and its augmented counterparts satisfy; furthermore, we use the case of matroids to explain the algebro-geometric motivation for the main results in Section 3.

Section 5 describes the Chow function arising from an Eulerian poset (or, for concreteness, the face poset of a polytope). We discuss how it relates to barycentric subdivisions,



and we prove that the Chow function in this example also satisfies strong inequalities, by relying on a deep theorem by Karu [Kar06].

Section 6 addresses the case of Coxeter groups: we give a combinatorial description of the Chow function, and we relate it to the complete  $\mathbf{cd}$ -index of Billera and Brenti [BB11].

## 2. PRELIMINARIES

**2.1. Inequalities for polynomials.** Let  $p(x) = a_0 + a_1x + \cdots + a_mx^m$  denote a polynomial having non-negative coefficients. The polynomial  $p(x)$  is said to be *unimodal* if there exists an index  $j$  such that

$$a_0 \leq \cdots \leq a_{j-1} \leq a_j \geq a_{j+1} \geq \cdots \geq a_m.$$

We say that  $p(x)$  is *symmetric* if there exists some index  $d$  such that  $a_i = a_{d-i}$  for each  $i$  (where  $a_i := 0$  if  $i < 0$ ). In this case, we say that  $p(x)$  has center of symmetry  $d/2$ . Notice that the symmetry of the coefficients can be encoded via the equation  $p(x) = x^d p(1/x)$ . For thorough references about unimodality, we refer to [Sta89, Bre89, Brä15].

The following statement provides a useful characterization of polynomials that are symmetric and unimodal.

**Lemma 2.1** *Let  $p(x)$  be a polynomial with non-negative coefficients. The following are equivalent.*

- (i)  $p(x)$  is unimodal and symmetric with center of symmetry  $d/2$ .
- (ii) There exist non-negative numbers  $c_0, \dots, c_{\lfloor d/2 \rfloor}$  such that

$$p(x) = \sum_{i=0}^{\lfloor d/2 \rfloor} c_i x^i (1 + x + \cdots + x^{d-2i}).$$

The proof is straightforward so we omit it. A further property that will be of relevance in the present paper is that of  $\gamma$ -positivity. We say that the polynomial  $p(x)$  is  $\gamma$ -positive if it is symmetric with center of symmetry  $d$  and there exist non-negative integers  $\gamma_0, \dots, \gamma_{\lfloor d/2 \rfloor}$  such that

$$p(x) = \sum_{i=0}^{\lfloor d/2 \rfloor} \gamma_i x^i (1 + x)^{d-2i}.$$

It is not hard to see that a  $\gamma$ -positive polynomial is unimodal. We refer to [Ath18] for a thorough survey on  $\gamma$ -positivity. The  $\gamma$ -polynomial associated to  $p$  is defined by  $\gamma(p, x) := \sum_{i=0}^{\lfloor d/2 \rfloor} \gamma_i x^i$ . It satisfies the following property:

$$p(x) = (1 + x)^d \gamma \left( p, \frac{x}{(1 + x)^2} \right).$$

If the polynomial  $p(x)$  is symmetric and has only negative real roots, then it is  $\gamma$ -positive; see [Brä15, Remark 3.1]. In other words, for symmetric polynomials with non-negative coefficients we have the following (strict) hierarchy of properties:

$$\text{real-rootedness} \implies \gamma\text{-positivity} \implies \text{unimodality}.$$

**2.2. Essential notions about posets.** Throughout this paper we will use the letter  $P$  to denote a partially ordered set, and  $\text{Int}(P)$  to denote the set of all closed intervals of  $P$ . We say that  $P$  is *locally finite* if for every pair of elements  $s \leq t$  in  $P$ , the closed interval  $[s, t] = \{w \in P : s \leq w \leq t\}$  has finitely many elements. The *incidence algebra* of  $P$ , denoted by  $\mathcal{F}(P)$ , is the free  $\mathbb{Z}[x]$ -module spanned by  $\text{Int}(P)$ . In other words, an element  $a \in \mathcal{F}(P)$  associates to each closed interval  $[s, t] \in \text{Int}(P)$  a polynomial  $a_{s,t}(x) \in \mathbb{Z}[x]$ . Depending

on the context we shall write  $a_{st}$  or  $a_{st}(x)$  interchangeably. The product (also known as convolution) of two elements  $a, b \in \mathcal{F}(P)$  is defined via

$$(ab)_{st}(x) = \sum_{s \leq w \leq t} a_{sw}(x) b_{wt}(x) \quad \text{for every } s \leq t \text{ in } P.$$

The algebra  $\mathcal{F}(P)$  satisfies the following basic properties:

- (i) The product in  $\mathcal{F}(P)$  is associative but not commutative.
- (ii) There is a multiplicative identity in  $\mathcal{F}(P)$ , denoted  $\delta \in \mathcal{F}(P)$  and defined by

$$\delta_{st} = \begin{cases} 1 & \text{if } s = t, \\ 0 & \text{if } s < t. \end{cases}$$

The following is a simple criterion to decide whether an element in the incidence algebra of  $P$  admits an inverse.

**Proposition 2.2** *The element  $a \in \mathcal{F}(P)$  admits a two-sided inverse, denoted  $a^{-1} \in \mathcal{F}(P)$ , if and only if  $a_{ss}(x) = \pm 1$  for every  $s \in P$ .*

If we consider the element  $\zeta \in \mathcal{F}(P)$  defined by

$$\zeta_{st} = 1 \quad \text{for all } s \leq t,$$

the preceding proposition guarantees that it is invertible, and we will denote its inverse by  $\mu = \zeta^{-1}$ . The element  $\mu \in \mathcal{F}(P)$  is known as the *Möbius function* of  $P$ . It can alternatively be defined via the following recursion:

$$\mu_{st} = \begin{cases} 1 & \text{if } s = t, \\ -\sum_{s \leq w < t} \mu_{sw} & \text{if } s < t. \end{cases}$$

An element  $a \in \mathcal{F}(P)$  can satisfy an additional property called combinatorial invariance. Precisely, if  $a_{st}(x) = a_{s't'}(x)$  whenever  $[s, t]$  and  $[s', t']$  are isomorphic as posets, then we say that  $a$  is *combinatorially invariant*. Note that  $\delta$ ,  $\mu$ , and  $\zeta$  are combinatorially invariant.

**2.3. Weak rank functions and characteristic functions.** A *weak rank function* on  $P$  is a map  $\rho: \text{Int}(P) \rightarrow \mathbb{Z}_{\geq 0}$  satisfying the following properties:

- (i) If  $s < t$ , then  $\rho_{st} > 0$ .
- (ii) If  $s \leq w \leq t$ , then  $\rho_{st} = \rho_{sw} + \rho_{wt}$ .

Observe that the second condition guarantees that  $\rho_{ss} = 0$  for every  $s \in P$ . By definition, a *weakly ranked poset* is a pair  $(P, \rho)$  consisting of a partially ordered set  $P$  and a weak rank function  $\rho$  on  $P$ . We note explicitly that it is *not* required that  $\rho$  be combinatorially invariant. If  $P$  has a minimum element  $\hat{0}$ , we will often write  $\rho(w) := \rho_{\hat{0}, w}$  for any  $w \in P$ .

A weak rank function  $\rho$  on a locally finite poset  $P$  induces a special subalgebra  $\mathcal{F}_\rho(P) \subseteq \mathcal{F}(P)$ , defined by

$$\mathcal{F}_\rho(P) = \{a \in \mathcal{F}(P) : \deg a_{st}(x) \leq \rho_{st} \text{ for all } s \leq t \text{ in } P\}. \quad (1)$$

This subalgebra admits an involution  $a \mapsto a^{\text{rev}}$  defined via the following identity:

$$(a^{\text{rev}})_{st}(x) = x^{\rho_{st}} a_{st}(x^{-1}). \quad (2)$$

The name “rev” stems from the fact that this involution reverses (with respect to the weak rank function) the coefficients of the polynomials associated to each interval.<sup>2</sup> It is immediate from the definition that this involution respects products, that is,  $(ab)^{\text{rev}} =$

<sup>2</sup>We warn the reader that in other sources this involution is denoted by  $a \mapsto \bar{a}$ ; however, in the present work we will reserve that notation for a different operation.



$a^{\text{rev}} \cdot b^{\text{rev}}$ . Similarly, whenever  $a \in \mathcal{F}_\rho(P)$  is invertible, our involution commutes with taking inverses  $(a^{-1})^{\text{rev}} = (a^{\text{rev}})^{-1}$ .

A key object in the subalgebra  $\mathcal{F}_\rho(P)$  is the *characteristic function*, denoted by  $\chi$ . It is defined by

$$\chi = \mu \cdot \zeta^{\text{rev}} = \zeta^{-1} \cdot \zeta^{\text{rev}}. \quad (3)$$

More explicitly, to each interval  $[s, t]$  of  $P$  we associate the polynomial

$$\chi_{st}(x) = \sum_{s \leq w \leq t} \mu_{sw} x^{\rho_{wt}}.$$

Whenever  $P$  is bounded, the polynomial  $\chi_P(x) := \chi_{\widehat{0}\widehat{1}}(x)$  will often be called the *characteristic polynomial* of  $P$ .

**2.4. The basics of KLS theory.** From the basic properties of the involution  $\text{rev}$  described in the previous subsection, we have that the characteristic function enjoys an important property:

$$\chi^{\text{rev}} = \left( \zeta^{-1} \cdot \zeta^{\text{rev}} \right)^{\text{rev}} = (\zeta^{\text{rev}})^{-1} \cdot \zeta = (\zeta^{\text{rev}})^{-1} \cdot \left( \zeta^{-1} \right)^{-1} = \left( \zeta^{-1} \cdot \zeta^{\text{rev}} \right)^{-1} = \chi^{-1}. \quad (4)$$

In other words, inverting  $\chi$  just reverses its coefficients. This motivates a key definition.

**Definition 2.3** Let  $(P, \rho)$  be a weakly ranked poset. An element  $\kappa \in \mathcal{F}_\rho(P)$  is said to be a  $(P, \rho)$ -*kernel* if  $\kappa_{ss}(x) = 1$  for all  $s \in P$  and

$$\kappa^{-1} = \kappa^{\text{rev}}.$$

We say that  $\kappa$  is *non-degenerate* if  $\deg \kappa_{st} = \rho_{st}$  for every  $s \leq t$  in  $P$ .

The notion of non-degeneracy appears to be new, but will be useful in many of our statements below.

It follows from the preceding discussion that the characteristic function  $\chi \in \mathcal{F}_\rho(P)$  is a non-degenerate  $(P, \rho)$ -kernel. Furthermore, notice that reasoning as in equation (4), it follows that if  $a \in \mathcal{F}_\rho(P)$  is an invertible element and  $\kappa := a^{-1} \cdot a^{\text{rev}}$ , then  $\kappa$  is a  $(P, \rho)$ -kernel. Stanley proved in [Sta92, Theorem 6.5] that all  $(P, \rho)$ -kernels arise in this way.

**Theorem 2.4** Let  $\kappa \in \mathcal{F}_\rho(P)$  be a  $(P, \rho)$ -kernel. There exists a unique element  $f \in \mathcal{F}_\rho(P)$  satisfying the following properties:

- (i)  $f_{ss}(x) = 1$  for all  $s \in P$ .
- (ii)  $\deg f_{st}(x) < \frac{1}{2}\rho_{st}$  for all  $s < t$ .
- (iii)  $f^{\text{rev}} = \kappa \cdot f$ .

Similarly, there exists a unique element  $g \in \mathcal{F}_\rho(P)$  satisfying the following properties:

- (i')  $g_{ss}(x) = 1$  for all  $s \in P$ .
- (ii')  $\deg g_{st}(x) < \frac{1}{2}\rho_{st}$  for all  $s < t$ .
- (iii')  $g^{\text{rev}} = g \cdot \kappa$ .

Following [Pro18, Section 2], we will call  $f$  (resp.  $g$ ) the *right* (resp. *left*) *Kazhdan–Lusztig–Stanley (KLS) function* associated to  $\kappa$ . If  $P$  is bounded, we call  $f_P(x) := f_{\widehat{0}\widehat{1}}(x)$  (resp.  $g_P(x) := g_{\widehat{0}\widehat{1}}(x)$ ) the *right* (resp. *left*) *Kazhdan–Lusztig–Stanley polynomial* of  $P$ .

For a detailed proof of the above theorem we refer to [Pro18, Theorem 2.2]. Furthermore, there is a converse to it proved in [Pro18, Theorem 2.5], which guarantees that if  $g$  is any element in  $\mathcal{F}_\rho(P)$  satisfying the conditions (i') and (ii') then  $\kappa := g^{-1}g^{\text{rev}} \in \mathcal{F}_\rho(P)$  is a  $(P, \rho)$ -kernel which has  $g$  as its left KLS function. A completely analogous statement holds for right KLS functions.

A further important object in the KLS theory is the  $Z$ -function, studied in great detail by Proudfoot in [Pro18].

**Definition 2.5** Let  $\kappa \in \mathcal{F}_\rho(P)$  be a  $(P, \rho)$ -kernel and let  $f$  (resp.  $g$ ) denote the right (resp. left) KLS function. The  $Z$ -function associated to  $\kappa$  is defined as the element  $Z \in \mathcal{F}_\rho(P)$  given by

$$Z := g^{\text{rev}} f = g f^{\text{rev}}.$$

The equality between the two expressions that define  $Z$  can be seen directly from the equation  $Z = g\kappa f$ . The  $Z$ -function is symmetric, i.e.,  $Z^{\text{rev}} = Z$  or, in other words,  $Z_{st}(x) = x^{\rho_{st}} Z_{st}(x^{-1})$  for every  $s \leq t$ . Furthermore, it follows from [Pro18] that if  $\kappa$  is non-degenerate, then  $\deg Z_{st}(x) = \deg \kappa_{st}(x)$  for all  $s \leq t$ .

Since the characteristic function is a  $(P, \rho)$ -kernel in any locally finite weakly ranked poset, we are led to consider the corresponding KLS functions.

**Example 2.6** Let  $\kappa = \chi$ . By the preceding discussion, since  $\chi = \zeta^{-1} \cdot \zeta^{\text{rev}}$ , we obtain that  $g = \zeta$  is the left KLS function. Trivially we have that  $g$  is non-negative. In strong contrast, the right KLS function is a much more subtle and difficult object. Nevertheless, when  $P$  is a geometric lattice and  $\rho$  is the rank function, then the right KLS function is non-negative due to a deep result by Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22b, Theorem 1.2]. We note that when  $P$  is not a geometric lattice, the right KLS function is not guaranteed to be non-negative (see Remark 4.31).

**Example 2.7** Let  $P$  be the Boolean lattice having 3 atoms, and regard it as a graded poset so that  $\rho_{st}$  is the length of any saturated chain starting at  $s$  and ending at  $t$ . Fix any number  $m \in \mathbb{Z}$  and define the following element  $\kappa$  on  $\mathcal{F}_\rho(P)$ :

$$\kappa_{st}(x) = \begin{cases} 1 & \text{if } \rho_{st} = 0, \\ x - 1 & \text{if } \rho_{st} = 1, \\ x^2 - 2x + 1 & \text{if } \rho_{st} = 2, \\ x^3 + mx^2 - mx - 1 & \text{if } \rho_{st} = 3. \end{cases}$$

A direct computation shows that  $\kappa$  is a  $(P, \rho)$ -kernel. Observe that the right KLS function is constant equal to 1 on all proper intervals and the right KLS polynomial is  $f_P(x) = 1 + (m+3)x$ . Notice that if  $m \leq -4$ , the KLS function fails to be non-negative. Furthermore, the left KLS function  $g$  equals  $f$  in this specific case, i.e.  $g_{st} = f_{st}$  for every  $s \leq t$ . (This is a coincidence, as the two functions may in general have very different behaviors.) The  $Z$ -function is equal to  $(x+1)^{\rho_{st}}$  on all proper intervals and  $Z_P(x) = x^3 + (m+6)x^2 + (m+6)x + 1$ .

**Lemma 2.8** Let  $\kappa$  be a  $(P, \rho)$ -kernel and let  $f, g$  be the KLS functions. We have the following equalities of coefficients:

$$[x^0]g_{st}(x) = [x^{\rho_{st}}]g_{st}^{\text{rev}}(x) = [x^{\rho_{st}}]\kappa_{st}(x),$$

$$[x^0]f_{st}(x) = [x^{\rho_{st}}]f_{st}^{\text{rev}}(x) = [x^{\rho_{st}}]\kappa_{st}(x).$$

In particular, the constant term of  $f$  and  $g$  is non-zero if and only if  $\kappa$  is non-degenerate.

*Proof.* The property of Theorem 2.4(iii') translates into the following equation for any  $s \leq t$ :

$$g_{st}^{\text{rev}}(x) - g_{st}(x) = \kappa_{st}(x) + \sum_{s < w < t} g_{sw}(x)\kappa_{wt}(x).$$

The proof of the statement follows from observing that every term in the sum on the right hand side has degree smaller than  $\rho_{st}$ . The proof for  $f$  is identical.  $\square$

**2.5. Graded posets, flag  $f$ -vectors, and Cohen–Macaulayness.** Whenever  $P$  is a finite graded bounded poset, the rank function  $\rho: \text{Int}(P) \rightarrow \mathbb{Z}_{\geq 0}$  can be computed as  $\rho_{st} = \rho(t) - \rho(s)$  where  $\rho(w)$  denotes the length of any saturated chain from  $\widehat{0}$  to  $w \in P$ . Let us denote  $r = \rho(\widehat{1})$  the rank of the poset  $P$ , and for each subset  $S \subseteq \{0, 1, \dots, r\}$ , say  $S = \{s_1, \dots, s_m\}$ , define

$$\alpha_P(S) = \{\text{chains } w_1 < \dots < w_m \text{ in } P : \rho(w_i) = s_i \text{ for } 1 \leq i \leq m\}.$$

The map  $\alpha: 2^{\{0, \dots, r\}} \rightarrow \mathbb{Z}_{\geq 0}$  is commonly known as the *flag  $f$ -vector* of  $P$ . It can be encoded in an alternative way by considering the *flag  $h$ -vector*, which is the map  $\beta: 2^{\{0, \dots, r\}} \rightarrow \mathbb{Z}$  defined by the condition:

$$\alpha_P(S) = \sum_{T \subseteq S} \beta_P(T).$$

Let  $\Delta$  be a simplicial complex, and denote by  $f_i$  the number of faces of  $\Delta$  having cardinality<sup>3</sup>  $i$  (or, equivalently, dimension  $i - 1$ ). The  *$f$ -vector* of  $\Delta$  is defined by

$$f(\Delta) = (f_0, \dots, f_{d-1})$$

where  $d = \dim(\Delta)$ . The  *$f$ -polynomial* of  $\Delta$  is the polynomial  $f(\Delta, x) = f_0x^d + f_1x^{d-1} + \dots + f_d$ . The  *$h$ -vector* and the  *$h$ -polynomial* of  $\Delta$  are defined via

$$h(\Delta, x) = h_0x^d + h_1x^{d-1} + \dots + h_d = f(\Delta, x - 1).$$

Recall that to every poset  $P$  we associate a simplicial complex  $\Delta(P)$ , called the *order complex* of  $P$ . The faces of  $\Delta(P)$  correspond to chains of elements in  $P$ . The  *$f$ -vector* of the simplicial complex  $\Delta(P)$  is encoded in the flag  *$f$ -vector* of  $P$ . Put precisely,

$$f_i(\Delta(P)) = \sum_{\substack{S \subseteq [r-1] \\ |S|=i}} \alpha_P(S).$$

It is not difficult to show that  *$h$ -vector* of  $\Delta(P)$ , is given by

$$h_i(\Delta(P)) = \sum_{\substack{S \subseteq [r-1] \\ |S|=i-1}} \beta_P(S).$$

Note that the flag  *$f$ -vector* of  $P$  has non-negative values as it count chains. However, the flag  *$h$ -vector* often fails to be non-negative. Similarly, the  *$h$ -vector* of  $\Delta(P)$  can a priori have negative coefficients. In what follows we recapitulate an important case in which the flag  *$h$ -vector* of  $P$  is indeed non-negative (and therefore the  *$h$ -vector* of  $\Delta(P)$ ).

As any other simplicial complex,  $\Delta(P)$  admits a geometric realization, that we will denote  $|\Delta(P)|$ . Note that every (open) interval  $(s, t) = \{w \in P : s < w < t\}$  is itself a graded poset. By definition, we say that  $P$  is *Cohen–Macaulay* (over  $\mathbb{Q}$ ) if the rational reduced homology groups of the order complex of every open interval  $(s, t)$  satisfy

$$\widetilde{H}_i(\Delta(s, t)) = 0 \quad \text{for all } i \neq \rho_{st} - 2.$$

In other words, the (reduced) homology of every open interval  $(s, t)$  must be concentrated in dimension  $\dim \Delta(s, t) = \rho_{st} - 2$ . The class of Cohen–Macaulay posets comprises a number of well-studied families, such as distributive lattices, posets that admit an R-labelling, posets that are EL-shellable, etc. It is worth noting that Cohen–Macaulayness is a topological property, that is, if  $P_1$  and  $P_2$  are posets and there is an homeomorphism  $|\Delta(P_1)| \approx |\Delta(P_2)|$  then  $P_1$  is Cohen–Macaulay if and only if  $P_2$  is Cohen–Macaulay.

<sup>3</sup>We are using the conventions of Björner in [Bjö92].

**Theorem 2.9** ([BCS82, Theorem 3.3]) *Let  $P$  a finite, graded, bounded poset. If  $P$  is Cohen–Macaulay, then the flag  $h$ -vector of  $P$  has non-negative entries.*

The non-negativity property in the above result follows from interpreting the entries of the flag  $h$ -vector as Betti numbers of rank-selected subposets of  $P$ .

### 3. CHOW FUNCTIONS

In this section we will introduce the main objects of study in the present article and prove theorems in a general and abstract setting. In the later sections we will investigate the interactions of this framework with existing prior work.

**3.1. Reduced kernels.** A well-known object in the theory of hyperplane arrangements is the “reduced” characteristic polynomial. If  $\mathcal{A}$  denotes a non-empty central hyperplane arrangement, the characteristic polynomial  $\chi_{\mathcal{A}}(x)$  vanishes when evaluated at  $x = 1$  (this is an immediate fact that follows from the definition of the Möbius function). In this setting, and in the more general context of matroids, it is customary to define the *reduced characteristic polynomial* by  $\bar{\chi}_{\mathcal{A}}(x) = \frac{1}{x-1} \chi_{\mathcal{A}}(x)$ . We point out that this agrees with the usual notation and conventions used, for instance, in [AHK18, Definition 9.1].

In the same way that one is able to reduce the characteristic function by discarding the trivial zero  $x = 1$ , one can in fact reduce any  $(P, \rho)$ -kernel in the same way.

**Lemma 3.1** *Let  $\kappa$  be a  $(P, \rho)$ -kernel. Then, for every  $s < t$  in  $P$ , the polynomial  $\kappa_{st}(x)$  is divisible by  $x - 1$ .*

*Proof.* We proceed by induction on the size of the interval  $[s, t]$ . Consider first the case in which the element  $s$  is covered by  $t$ . The condition  $\kappa\kappa^{\text{rev}} = \delta$  is equivalent to

$$0 = \kappa_{ss}(x)\kappa_{st}^{\text{rev}}(x) + \kappa_{st}(x)\kappa_{tt}^{\text{rev}}(x) = \kappa_{st}^{\text{rev}}(x) + \kappa_{st}(x).$$

This implies that  $\kappa_{st}(x) = -x^{\rho_{st}}\kappa_{st}(x^{-1})$ . By evaluating both sides at  $x = 1$  we see that  $\kappa_{st}(1) = -\kappa_{st}(1)$ , which implies the desired property. Now, for the induction step, notice that

$$\bar{\kappa}_{st}(x) + \kappa_{st}^{\text{rev}}(x) = - \sum_{s < w < t} \kappa_{sw}(x)\kappa_{wt}^{\text{rev}}(x),$$

which implies again that  $\kappa_{st}(x) + \kappa_{st}^{\text{rev}}(x) = 0$ , as all the polynomials on the right hand side are associated to smaller intervals that are not singletons.  $\square$

**Definition 3.2** Let  $\kappa$  be a  $(P, \rho)$ -kernel. We define the corresponding *reduced  $(P, \rho)$ -kernel* as the element  $\bar{\kappa} \in \mathcal{F}_{\rho}(P)$  given by

$$\bar{\kappa}_{st}(x) = \begin{cases} \frac{1}{x-1} \kappa_{st}(x) & \text{if } s < t \\ -1 & \text{if } s = t. \end{cases}$$

The choice we impose on defining  $\kappa_{ss}(x)$  as  $-1$  (as opposed to just  $1$ ) is in fact important. An alternative approach would be to define  $\bar{\kappa}_{st}(x)$  as  $\frac{1}{1-x}\kappa_{st}(x)$  for  $s < t$  and as  $1$  for  $s = t$ , but this would create confusions with the standard notation for the reduced characteristic polynomial. Since the latter is a key motivating example, we prefer to follow the convention on the statement of Definition 3.2. On the other hand, we warn the reader that in other sources the notation  $\bar{\kappa}$  stands for what we denote here as  $\kappa^{\text{rev}}$ . We prefer to use the overline to denote “reduced” instead of “reversed”.

**3.2. Definition of Chow functions.** Since Proposition 2.2 guarantees that a reduced  $(P, \rho)$ -kernel is invertible, we are motivated to consider the following notion, which constitutes the primary object of study in this article.

**Definition 3.3** Let  $\kappa$  be a  $(P, \rho)$ -kernel. We define the *Chow function* associated to  $\kappa$ , or  $\kappa$ -*Chow function*, as the element  $H \in \mathcal{J}_\rho(P)$  defined by

$$H = -(\bar{\kappa})^{-1}.$$

If the poset  $P$  is bounded, the polynomial  $H_P(x) = H_{\widehat{0}\widehat{1}}(x)$  will be customarily called the  $\kappa$ -*Chow polynomial* of the poset.

As a consequence of having defined  $\bar{\kappa}_{ss}(x)$  as  $-1$  for every  $s \in P$ , the minus sign appearing in the above definition guarantees that  $H_{ss}(x) = 1$  for every  $s \in P$ . In the subsequent sections of this article we will focus our attention on a number of interesting examples of Chow functions. Notice that our definition of Chow functions as  $-(\bar{\kappa})^{-1}$  is equivalent to either of the following properties:

$$H_{st}(x) = \sum_{s < w \leq t} \bar{\kappa}_{sw}(x) H_{wt}(x) \quad \text{or, dually,} \quad (5)$$

$$H_{st}(x) = \sum_{s \leq w < t} H_{sw}(x) \bar{\kappa}_{wt}(x), \quad \text{for all } s < t \text{ in } P. \quad (6)$$

The following is the basic toolkit of properties that general Chow functions satisfy regarding degree and symmetry.

**Proposition 3.4** Let  $\kappa$  be a  $(P, \rho)$ -kernel, and let  $H \in \mathcal{J}_\rho(P)$  be the corresponding Chow function. Then, the following properties hold true:

(i) For every  $s < t$ , we have that

$$[x^{\rho_{st}-1}]H_{st}(x) = [x^{\rho_{st}}]\kappa_{st}(x).$$

In particular, if  $\kappa$  is non-degenerate, we have that  $\deg H_{st} = \rho_{st} - 1$  for every  $s < t$ .

(ii) The Chow function is symmetric, i.e.,

$$H_{st}(x) = x^{\rho_{st}-1} H_{st}(x^{-1}) \quad \text{for every } s < t.$$

*Proof.* From equation (5) we can write

$$H_{st}(x) = \bar{\kappa}_{st}(x) + \sum_{s < w < t} \bar{\kappa}_{sw}(x) H_{wt}(x). \quad (7)$$

We first prove both claims in the case in which  $s$  is covered by  $t$ . Notice that the above sum simplifies to

$$H_{st}(x) = \bar{\kappa}_{st}(x).$$

In particular  $[x^{\rho_{st}-1}]H_{st}(x) = [x^{\rho_{st}-1}]\bar{\kappa}_{st}(x) = [x^{\rho_{st}}]\kappa_{st}(x)$ . On the other hand since  $s$  is covered by  $t$ , proceeding as in the proof of Lemma 3.1 the condition  $\kappa\kappa^{\text{rev}} = \delta$  tells us that

$$\kappa_{st}^{\text{rev}}(x) + \kappa_{st}(x) = 0,$$

which in turn is equivalent to

$$0 = x^{\rho_{st}}\kappa_{st}(x^{-1}) + \kappa_{st}(x) = x^{\rho_{st}}(x^{-1} - 1)\bar{\kappa}_{st}(x^{-1}) + (x - 1)\bar{\kappa}_{st}(x).$$

Hence, since  $H_{st}(x) = \bar{\kappa}_{st}(x)$ , the last equation reduces to:

$$x^{\rho_{st}-1}(1 - x)H_{st}(x^{-1}) + (x - 1)H_{st}(x) = 0,$$

which can be simplified to  $x^{\rho_{st}-1}H_{st}(x^{-1}) = H_{st}(x)$ . Hence we have proved our claims under the assumption that  $s$  is covered by  $t$ .

Now, proceeding by induction of the size of the interval  $[s, t]$ , notice that every term in the sum on the right hand side of equation (7) has degree at most  $\rho_{sw} - 1 + \rho_{wt} - 1 = \rho_{st} - 2$ . Therefore  $[x^{\rho_{st}-1}]H_{st}(x) = [x^{\rho_{st}-1}]\bar{\kappa}_{st}(x) = [x^{\rho_{st}}]\kappa_{st}(x)$ . Now, to prove the second property, we multiply the formula of equation (7) by  $x - 1$  and subtract the polynomial  $\kappa_{st}(x)$  from both sides, thus obtaining:

$$(x - 1)H_{st}(x) - \kappa_{st}(x) = \sum_{s < w < t} \kappa_{sw}(x)H_{wt}(x) \quad (8)$$

$$= \sum_{s < w < t} \kappa_{sw}(x)x^{\rho_{wt}-1}H_{wt}(x^{-1}) \quad (9)$$

$$= \sum_{s < w < t} \left( - \sum_{s \leq u < w} \kappa_{su}(x)x^{\rho_{uw}}\kappa_{uw}(x^{-1}) \right) x^{\rho_{wt}-1}H_{wt}(x^{-1}) \quad (10)$$

$$= - \sum_{s \leq u < t} \kappa_{su}(x)x^{\rho_{ut}-1} \left( \sum_{u < w < t} \kappa_{uw}(x^{-1})H_{wt}(x^{-1}) \right)$$

$$= - \sum_{s \leq u < t} \kappa_{su}(x)x^{\rho_{ut}-1} \left( (x^{-1} - 1)H_{ut}(x^{-1}) - \kappa_{ut}(x^{-1}) \right) \quad (11)$$

$$= x^{-1} \sum_{s \leq u < t} \kappa_{su}(x)x^{\rho_{ut}}\kappa_{ut}(x^{-1}) \quad (12)$$

$$- \frac{1-x}{x} \sum_{s \leq u < t} \kappa_{su}(x)x^{\rho_{ut}-1}H_{ut}(x^{-1})$$

$$= -\frac{1}{x}\kappa_{st}(x) + \frac{x-1}{x} \sum_{s \leq u < t} \kappa_{su}(x)x^{\rho_{ut}-1}H_{ut}(x^{-1}), \quad (13)$$

where in (9) we used the induction hypothesis since  $[w, t]$  is a strictly smaller interval, in (10) we used that  $\kappa$  is a  $(P, \rho)$ -kernel, in (11) we used equation (8) again but changing the variable  $x$  by  $x^{-1}$  and  $w$  by  $u$ , while in (13) we used again that  $\kappa$  is a  $(P, \rho)$ -kernel. Note that it is possible to simplify the equality between the left-hand-side of (8) and the right-hand-side of (13):

$$xH_{st}(x) = \kappa_{st}(x) + \sum_{s \leq u < t} \kappa_{su}(x)x^{\rho_{ut}-1}H_{ut}(x^{-1}).$$

Using the induction hypothesis once more, but now on the intervals  $[u, t]$  for  $s < u$ , we write  $x^{\rho_{ut}-1}H_{ut}(x^{-1}) = H_{ut}(x)$  and get

$$xH_{st}(x) = \kappa_{st}(x) + x^{\rho_{st}-1}H_{st}(x^{-1}) + \sum_{s < u < t} \kappa_{su}(x)H_{ut}(x).$$

To conclude, observe that adding  $H_{st}(x)$  to both sides in equation (8), and combining the result with the last formula we obtained it is possible to see that  $H_{st}(x) = x^{\rho_{st}-1}H_{st}(x^{-1})$ , and the proof is complete.  $\square$

**Example 3.5** Continuing with the poset in Example 2.7, the Chow polynomial of  $P$  can be computed by hand, yielding  $H_P(x) = x^2 + (m+7)x + 1$ . Notice that by choosing any integer  $m \leq -7$  the Chow function fails to be unimodal, and by choosing  $m \leq -8$  we can produce a Chow polynomial attaining a negative coefficient.

The following proposition provides an alternative characterization of Chow functions, that shows that it fulfills *simultaneously* the key properties (iii) and (iii') of both the right



and left KLS functions in Theorem 2.4, but dropping instead the assumption on having degree at most half the rank.

**Proposition 3.6** *Let  $\kappa$  be a  $(P, \rho)$ -kernel. The Chow function  $H$  is the unique element in  $\mathcal{F}_\rho(P)$  such that*

- (i)  $H_{ss}(x) = 1$  for all  $s \in P$ ,
- (ii) For every  $s < t$  the polynomial  $H_{st}(x)$  is symmetric, with center of symmetry  $\frac{1}{2}(\rho_{st} - 1)$ .
- (iii)  $\kappa H = H^{\text{rev}}$  or  $H\kappa = H^{\text{rev}}$ .

*Proof.* If  $s < t$ , by multiplying equation (5) by  $x - 1$ , we have:

$$(x - 1)H_{st}(x) = \sum_{s < w \leq t} \kappa_{sw}(x)H_{wt},$$

which after adding  $H_{st}$  to both sides translates into

$$xH_{st}(x) = \sum_{s \leq w \leq t} \kappa_{sw}(x)H_{wt}(x) = (\kappa H)_{st}(x).$$

Applying Proposition 3.4(ii) we have that

$$H_{st}^{\text{rev}}(x) = x^{\rho_{st}}H_{st}(x^{-1}) = xH_{st}(x) = (\kappa H)_{st}(x),$$

which says that  $H^{\text{rev}} = \kappa H$ . On the other hand, by multiplying equation (6) by  $x - 1$  and adding  $H_{st}(x)$

$$xH_{st}(x) = \sum_{s \leq w \leq t} H_{sw}(x)\kappa_{wt}(x) = H\kappa.$$

Repeating the reasoning of above, this proves that  $H\kappa = H^{\text{rev}}$ . Now, from any element  $H$  satisfying the assumptions of the statement, we obtain that for every  $s < t$

$$xH_{st}(x) = \sum_{s \leq w \leq t} \kappa_{sw}(x)H_{wt}(x).$$

By moving the term corresponding to  $w = s$  to the left-hand side and dividing by  $x - 1$  we obtain that

$$H_{st}(x) = \sum_{s < w \leq t} \bar{\kappa}_{sw}(x)H_{wt}(x),$$

or, equivalently, that  $\bar{\kappa}H = \delta$ . The uniqueness of the inverse in  $\mathcal{F}(P)$  lets us conclude.  $\square$

Lastly, the next proposition can be seen as the Chow counterpart of [Pro18, Proposition 2.5] and [Sta92, Theorem 6.5].

**Proposition 3.7** *Let  $H$  be an element of  $\mathcal{F}_\rho(P)$  such that  $H_{ss}(x) = 1$  for all  $s \in P$ , and such that for every  $s < t$  the polynomial  $H_{st}(x)$  is symmetric with center of symmetry  $\frac{1}{2}(\rho_{st} - 1)$ . There exists a unique  $(P, \rho)$ -kernel  $\kappa$  such that  $H$  is the associated Chow function.*

*Proof.* We define  $\kappa = H^{\text{rev}}H^{-1}$ . This is clearly a  $(P, \rho)$ -kernel (cf. the discussion below Definition 2.3) and  $\kappa H = H^{\text{rev}}$ , hence  $H$  is the  $\kappa$ -Chow function by Proposition 3.6. Now consider a different function  $\bar{\kappa}$  also having  $H$  as its associated Chow function. We trivially have that  $\bar{\kappa}_{ss}(x) = \kappa_{ss}(x)$  for each  $s \in P$ . For a non-trivial interval  $[s, t]$ , by expanding the convolutions  $\bar{\kappa}H = H^{\text{rev}}$  and  $\kappa H = H^{\text{rev}}$  and by proceeding by induction on the size of the intervals, the assumption that  $\bar{\kappa}_{s't'}(x) = \kappa_{s't'}(x)$  on all intervals  $[s', t']$  that are smaller in size than  $[s, t]$ , it is straightforward to conclude that  $\bar{\kappa}_{st}(x) = \kappa_{st}(x)$ , and thus  $\bar{\kappa} = \kappa$  in  $\mathcal{F}_\rho(P)$ .  $\square$

**3.3. The relation between KLS and Chow functions.** Our goal now is to establish a general set of formulas that link the KLS functions with the Chow functions. Although these formulas are valid at a great level of generality, even specific examples of them are highly non-trivial when specializing to some of the concrete examples mentioned in the subsequent sections. We start with a preparatory lemma. Throughout the rest of this section, we assume that  $\kappa$  denotes a  $(P, \rho)$ -kernel,  $H$  denotes the Chow function, and  $f$  (resp.  $g$ ) denotes the right (resp. left) KLS function.

**Lemma 3.8** *The products in  $\mathcal{F}_\rho(P)$  between the KLS functions and the reduced  $(P, \rho)$ -kernel are given by*

$$(\bar{\kappa}f)_{st}(x) = \begin{cases} \frac{f_{st}^{\text{rev}}(x) - xf_{st}(x)}{x-1} & \text{if } s < t, \\ -1 & \text{if } s = t. \end{cases} \quad (g\bar{\kappa})_{st}(x) = \begin{cases} \frac{g_{st}^{\text{rev}}(x) - xg_{st}(x)}{x-1} & \text{if } s < t, \\ -1 & \text{if } s = t. \end{cases}$$

*Proof.* We will only do the proof for  $g$  since the one for  $f$  is very similar. By property Theorem 2.4(iii') we have that  $g\kappa = g^{\text{rev}}$ . In particular, for every  $s \leq t$  we have:

$$g_{st}^{\text{rev}}(x) = \sum_{s \leq w \leq t} g_{sw}(x) \kappa_{wt}(x).$$

Subtracting on both sides the term on the right corresponding to  $w = t$ , we obtain:

$$g_{st}^{\text{rev}}(x) - g_{st}(x) = \sum_{s \leq w < t} g_{sw}(x) \kappa_{wt}(x), = (x-1) \sum_{s \leq w < t} g_{sw}(x) \bar{\kappa}_{wt}(x).$$

Hence, it follows that:

$$(g\bar{\kappa})_{st}(x) = \sum_{s \leq w \leq t} g_{sw}(x) \bar{\kappa}_{wt}(x) = \frac{1}{x-1} (g_{st}^{\text{rev}}(x) - g_{st}(x)) - g_{st}(x) = \frac{g_{st}^{\text{rev}}(x) - xg_{st}(x)}{x-1},$$

as desired.  $\square$

We are now ready to state and prove one of the most important tools we will employ throughout the rest of the paper. We will refer to the following formulas as “numerical canonical decompositions” because, as we will argue in Section 4.4, it is a numerical shadow of deep algebro-geometric properties of certain cohomologies.

**Theorem 3.9** (Numerical canonical decomposition) *The Chow function can be computed from the KLS functions as follows:*

$$H_{st}(x) = \frac{f_{st}^{\text{rev}}(x) - f_{st}(x)}{x-1} + \sum_{s < w < t} H_{sw}(x) \frac{f_{wt}^{\text{rev}}(x) - xf_{wt}(x)}{x-1}, \quad (14)$$

$$H_{st}(x) = \frac{g_{st}^{\text{rev}}(x) - g_{st}(x)}{x-1} + \sum_{s < w < t} \frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} H_{wt}(x) \quad (15)$$

*Proof.* We only show the second one, as the proof of the first is entirely analogous. We write

$$g = g\delta = g(-\bar{\kappa})H = -(g\bar{\kappa})H.$$

Now, from Lemma 3.8 we can compute  $g\bar{\kappa}$ , and convolving this with  $H$ , gives us:

$$g_{st}(x) = - \left( -H_{st}(x) + \sum_{s < w \leq t} \frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} H_{wt}(x) \right).$$

Now we rearrange the terms and separate one summand from the sum on the right, and obtain (15), as desired:

$$\begin{aligned}
 H_{st}(x) &= g_{st}(x) + \sum_{s < w \leq t} \frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} H_{wt}(x) \\
 &= g_{st}(x) + \frac{g_{st}^{\text{rev}}(x) - xg_{st}(x)}{x-1} + \sum_{s < w < t} \frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} H_{wt}(x) \\
 &= \frac{g_{st}^{\text{rev}}(x) - g_{st}(x)}{x-1} + \sum_{s < w < t} \frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} H_{wt}(x). \quad \square
 \end{aligned}
 \tag{16}$$

Although the numerical canonical decompositions are fundamental throughout this paper, in some cases we will need to write the Chow function in a non-recursive way. The following provides us with a non-recursive formula, which is given by a sum over chains.

**Theorem 3.10** *Let  $\kappa$  be a  $P$ -kernel, let  $f$  and  $g$  be the right and left KLS functions, and let  $H$  be the Chow function. Then,*

$$H_{st}(x) = \sum_{s=p_0 < p_1 < \dots < p_m \leq t} f_{sp_1}(x) \prod_{i=1}^m \frac{f_{p_{i-1}p_i}^{\text{rev}}(x) - xf_{p_{i-1}p_i}(x)}{x-1}, \tag{17}$$

$$= \sum_{s=p_0 < p_1 < \dots < p_m \leq t} \left( \prod_{i=1}^m \frac{g_{p_{i-1}p_i}^{\text{rev}}(x) - xg_{p_{i-1}p_i}(x)}{x-1} \right) g_{p_m t}(x), \tag{18}$$

*Proof.* Once more, we will write the proof for the second of the two formulas, as the first is analogous. Note that by equation (16), we can write

$$H_{st}(x) = g_{st}(x) + \sum_{s < p_1 \leq t} \frac{g_{sp_1}^{\text{rev}}(x) - xg_{sp_1}(x)}{x-1} H_{p_1 t}(x).$$

In turn, the polynomial  $H_{p_1 t}(x)$  can be computed by the same recursion. That is,

$$\begin{aligned}
 H_{st}(x) &= g_{st}(x) + \\
 &\sum_{s < p_1 \leq t} \frac{g_{sp_1}^{\text{rev}}(x) - xg_{sp_1}(x)}{x-1} \left( g_{p_1 t}(x) + \sum_{p_1 < p_2 \leq t} \frac{g_{p_1 p_2}^{\text{rev}}(x) - xg_{p_1 p_2}(x)}{x-1} H_{p_2 t}(x) \right).
 \end{aligned}$$

Iterating this, we obtain precisely the formula claimed in equation (18).  $\square$

**3.4. Non-negativity and unimodality of Chow functions.** It is known that the right and left KLS functions arising from a  $(P, \rho)$ -kernel  $\kappa$  can fail to be non-negative. Similarly, by our Example 3.5 a Chow function can fail to be non-negative too. As we will show now, there is a striking connection between the non-negativity of the KLS functions and the non-negativity and unimodality of the Chow function. We will prepare the proof with a few preliminary lemmas.

**Lemma 3.11** *Let  $p(x)$  and  $q(x)$  be polynomials that are non-negative, symmetric, and unimodal. Then  $p(x)q(x)$  is non-negative, symmetric, and unimodal.*

The proof of the above lemma can be found in [Sta89, Proposition 1]. We mention that the assumption on the symmetry is essential, as in general the product of two non-negative unimodal polynomials may fail to be unimodal.

**Theorem 3.12** *Let  $\kappa$  be a  $(P, \rho)$ -kernel. If either the right KLS function  $f$  or the left KLS function  $g$  are non-negative, then the Chow function  $H$  is non-negative and unimodal.*

*Proof.* We will only indicate the proof for  $g$ , as the one for  $f$  is very similar. Let us assume that the left KLS function  $g$  is non-negative. We shall proceed by induction on  $\rho_{st}$ . By definition, when  $\rho_{st} = 0$ , we have  $s = t$ , and  $H_{st}(x) = 1$  which is non-negative and unimodal. Let us assume that  $H_{st}(x)$  is unimodal whenever  $\rho_{st} \leq \ell$ , and consider any interval  $[s, t]$  such that  $\rho_{st} = \ell + 1$ . We will use the numerical canonical decomposition. We claim that the sum in (15) consists of symmetric unimodal polynomials all of which have center of symmetry  $\frac{1}{2}(\rho_{st} - 1)$ . We rely crucially on the following two ingredients: i) the fact that  $\deg g_{st} < \frac{1}{2}\rho_{st}$  for all  $s < t$ , and ii) the assumption that  $g_{st}(x)$  has non-negative coefficients. For concreteness, let us write  $g_{st}(x) = g_0 + g_1 x + \dots + g_d x^d$ , where  $d = \lfloor \frac{\rho_{st}-1}{2} \rfloor$ , and each  $g_i \geq 0$ . We have that

$$\begin{aligned} g_{st}^{\text{rev}}(x) - g_{st}(x) &= x^{\rho_{st}} g_{st}(x^{-1}) - g_{st}(x) \\ &= g_0 x^{\rho_{st}} + g_1 x^{\rho_{st}-1} + \dots + g_d x^{\rho_{st}-d} - g_d x^d - \dots - g_1 x - g_0 \end{aligned}$$

Notice that  $2d < \rho_{st}$  implies that  $\rho_{st} - d > d$ , so that each of the monomials appearing above has a different exponent. One can group the terms of degree  $i$  and  $\rho_{st} - i$  for  $i = 0, \dots, d$  obtaining:

$$g_{st}^{\text{rev}}(x) - g_{st}(x) = \sum_{i=0}^d g_i (x^{\rho_{st}-i} - x^i) = (x-1) \sum_{i=0}^d g_i x^i (1 + x + \dots + x^{\rho_{st}-1-2i})$$

In particular, the non-negativity of the  $g_i$ 's yields that the polynomial  $\frac{g_{st}^{\text{rev}}(x) - g_{st}(x)}{x-1}$  is non-negative, symmetric, and unimodal, having center of symmetry  $\frac{1}{2}(\rho_{st} - 1)$ . Similarly, for each  $s < w < t$ , let us write  $g_{sw}(x) = g_0 + \dots + g_d x^d$ , with each  $g_i \geq 0$  and  $d = \lfloor \frac{\rho_{sw}-1}{2} \rfloor$ . Notice that even if we subtract  $xg_{sw}(x)$  instead of just  $g_{sw}(x)$  as in the previous computation, after possibly a single cancellation all the monomials have different exponents in  $g_{sw}^{\text{rev}}(x) - xg_{sw}(x)$ . Therefore, we can compute

$$g_{sw}^{\text{rev}}(x) - xg_{sw}(x) = x(x-1) \sum_{i=0}^d g_i x^i (1 + \dots + x^{\rho_{sw}-2i}),$$

which gives that  $\frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1}$  is non-negative, unimodal, and symmetric with center of symmetry  $\frac{1}{2}\rho_{sw}$ .

Now, the induction hypothesis guarantees that the polynomials  $H_{wt}(x)$  for  $s < w < t$  are unimodal because  $\rho_{wt} \leq \rho_{st} - 1 = \ell$ . Furthermore, by Proposition 3.4, the center of symmetry is  $\frac{\rho_{wt}-1}{2}$ . On the other hand, by Lemma 3.11 the product

$$\frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} H_{wt}(x)$$

is non-negative, symmetric, and unimodal, and its center of symmetry is  $\frac{1}{2}\rho_{sw} + \frac{1}{2}(\rho_{wt} - 1) = \frac{1}{2}(\rho_{st} - 1)$ . Since we have proved that all the summands appearing are non-negative, symmetric, unimodal, and share a common center of symmetry, it follows that  $H_{st}(x)$  fulfills the same property. By induction, the result follows.  $\square$

**3.5. Augmented Chow functions.** The goal in this section is to introduce ‘‘augmented’’ counterparts of the Chow function.

**Definition 3.13** Let  $\kappa$  be a  $(P, \rho)$ -kernel. Consider the following two elements of  $\mathcal{F}_\rho(P)$ :

$$\begin{aligned} F &= H \cdot f^{\text{rev}}, \\ G &= g^{\text{rev}} \cdot H. \end{aligned}$$

We call  $F$  (resp.  $G$ ) the right (resp. left) augmented Chow function associated to  $\kappa$ .

As was mentioned earlier, with KLS functions it is important to make the distinction between left and right. In some cases it may happen that these two functions exhibit a striking difference in their complexity (cf. Example 2.6). Though for Chow functions this distinction between left and right does not occur, for augmented Chow functions it certainly does, as  $F$  and  $G$  can behave in different ways. In the next section we will see this phenomenon in the context of  $\kappa = \chi$ , i.e., augmented characteristic Chow functions.

**Example 3.14** Going back once more to the poset in Example 2.7, the left and right augmented Chow polynomials happen to coincide, and they are equal to

$$G_P(x) = x^3 + (m+10)x^2 + (m+10)x + 1.$$

We have the following augmented counterpart for Proposition 3.4.

**Proposition 3.15** *Let  $\kappa$  be a  $(P, \rho)$ -kernel, and let  $F, G \in \mathcal{F}_\rho(P)$  be the corresponding augmented Chow functions. Then, the following properties hold true:*

(i) *For every  $s \leq t$ , we have that*

$$[x^{\rho_{st}}]F_{st}(x) = [x^{\rho_{st}}]G_{st}(x) = [x^{\rho_{st}}]\kappa_{st}(x).$$

*In particular, if  $\kappa$  is non-degenerate, we have that  $\deg F_{st} = \deg G_{st} = \rho_{st}$  for every  $s \leq t$ .*

(ii) *The augmented Chow functions are symmetric, i.e.,*

$$F_{st}(x) = x^{\rho_{st}} F_{st}(x^{-1}),$$

$$G_{st}(x) = x^{\rho_{st}} G_{st}(x^{-1}),$$

*for every  $s \leq t$ . In other words,  $F^{\text{rev}} = F$  and  $G^{\text{rev}} = G$ .*

*Proof.* We prove the statement for  $G$ , as the proof for  $F$  is analogous. The equation  $G = g^{\text{rev}} H$  translates into the equation:

$$G_{st}(x) = g_{st}^{\text{rev}}(x) + \sum_{s \leq w < t} g_{sw}^{\text{rev}}(x) H_{wt}(x) \quad \text{for every } s \leq t,$$

(where in the right-hand-side we isolated one summand of the convolution). Every term in the sum has degree at most  $\rho_{sw} + \rho_{wt} - 1 = \rho_{st} - 1$ , and therefore  $[x^{\rho_{st}}]G_{st}(x) = [x^{\rho_{st}}]g_{st}^{\text{rev}}(x) = [x^{\rho_{st}}]\kappa_{st}(x)$ , where the last equality follows from Lemma 2.8. To prove the second statement we recall that Proposition 3.6 guarantees that  $\kappa H = H^{\text{rev}}$ , and thus:

$$G = g^{\text{rev}} H = (g\kappa)H = g(\kappa H) = gH^{\text{rev}} = (g^{\text{rev}}H)^{\text{rev}} = G^{\text{rev}}. \quad \square$$

The following result provides an augmented analog for the numerical canonical decomposition of Theorem 3.9.

**Theorem 3.16** (Augmented numerical canonical decomposition) *The augmented Chow functions can be computed from the Z-function and the KLS functions as follows:*

$$F_{st}(x) = Z_{st}(x) + \sum_{s < w \leq t} \frac{g_{sw}^{\text{rev}}(x) - xg_{sw}(x)}{x-1} F_{wt}(x) \quad (19)$$

$$G_{st}(x) = Z_{st}(x) + \sum_{s \leq w < t} G_{sw}(x) \frac{f_{wt}^{\text{rev}}(x) - xf_{wt}(x)}{x-1} \quad (20)$$

*Proof.* We prove the statement only for  $G$  as the proof for  $F$  is analogous. We know that

$$Z = g^{\text{rev}} f = -g^{\text{rev}}(H\bar{\kappa})f = -(g^{\text{rev}}H)\bar{\kappa}f = -G(\bar{\kappa}f).$$

By virtue of Lemma 3.8, isolating one term of the convolution on the right-hand-side of the above display, for every  $s \leq t$  we have

$$Z_{st}(x) = - \left( -G_{st}(x) + \sum_{s \leq w < t} G_{sw}(x) \frac{f_{wt}^{\text{rev}}(x) - x f_{wt}(x)}{x-1} \right),$$

which after a rearrangement of the terms yields a proof of equation (20).  $\square$

Now we have the tools to state and prove a result relating properties of the KLS and Z-functions to properties of the augmented Chow functions.

**Theorem 3.17** *Let  $\kappa$  be a  $(P, \rho)$ -kernel, and let  $F$  (resp.  $G$ ) be the right (resp. left) augmented Chow functions. The following hold true:*

- (i) *If  $f$  (resp.  $g$ ) is non-negative, then  $F$  (resp.  $G$ ) is non-negative.*
- (ii) *If  $Z$  is non-negative and unimodal and  $g$  (resp.  $f$ ) is non-negative, then  $F$  (resp.  $G$ ) is unimodal.*

*Proof.* As usual, we do the proof for  $F$ , since the proof for  $G$  is almost identical. The non-negativity of  $f$  implies the non-negativity of  $H$  via Theorem 3.12, so the convolution  $F = H \cdot f^{\text{rev}}$  is obviously non-negative. This proves the first property.

Assuming that  $g$  is non-negative, from the proof of Theorem 3.12 we know that the element

$$\frac{g_{sw}^{\text{rev}}(x) - x g_{sw}(x)}{x-1}$$

is non-negative, symmetric, and unimodal, and its center of symmetry is  $\frac{1}{2}(\rho_{sw} - 1)$ . In particular, we can induct using the augmented numerical canonical decomposition. Assuming that  $F$  is unimodal on all proper intervals of  $[s, t]$ , we have that

$$\sum_{s < w \leq t} \frac{g_{sw}^{\text{rev}}(x) - x g_{sw}(x)}{x-1} F_{wt}(x)$$

is a sum of unimodal and symmetric polynomials having the same center of symmetry. Observe that  $Z$  has the exact same center of symmetry, so that equation (19) gives that  $F_{st}$  is unimodal.  $\square$

**Remark 3.18** We do not know if it is possible to remove some of the assumptions for the second part of the prior statement. We have tried to construct examples showing that the requirements are all essential, but we could not find any. In particular, it would be very interesting to have an example in which  $f$  and  $g$  are non-negative but  $Z$  is not unimodal.

**Remark 3.19** It is possible to define  $F$  and  $G$  without making explicit reference to the Chow function. Consider the elements  $F^\perp, G^\perp \in \mathcal{F}_\rho(P)$  defined by

$$F_{st}^\perp(x) := \frac{x(f^{-1})_{st}^{\text{rev}}(x) - f_{st}^{-1}(x)}{x-1}, \quad G_{st}^\perp(x) := \frac{x(g^{-1})_{st}^{\text{rev}}(x) - g_{st}^{-1}(x)}{x-1}.$$

Notice that these are defined in terms of the inverses of the right and left KLS functions. It can be proved that

$$F = (F^\perp)^{-1}, \quad G = (G^\perp)^{-1}.$$

Or, equivalently, that  $F^\perp = F^{-1}$  and  $G^\perp = G^{-1}$ . Since we will not need these formulas in the remainder of the paper, we omit the proof.



4. CHARACTERISTIC CHOW FUNCTIONS OF GRADED POSETS AND GEOMETRIC LATTICES

In this section we will study in detail the properties of the Chow function that arises from the characteristic function in a finite graded bounded poset  $P$ . Under these assumptions, as was explained in Section 2.5, the rank function  $\rho_{st}$  is given by the length of an arbitrary saturated chain from  $s$  to  $t$  or, equivalently,  $\rho_{st} = \rho(t) - \rho(s)$ , where  $\rho(w)$  stands for the length of an arbitrary saturated chain from  $\hat{0}$  to  $w$ .

We refer to the Chow functions arising from  $\chi$  as *characteristic Chow functions* or  $\chi$ -*Chow functions*. We will first establish a number of general properties that  $\chi$ -Chow polynomials of finite graded bounded posets satisfy, and later we will explain the consequences for the central example of matroids.

We start by noting explicitly that the characteristic function in a graded poset is combinatorially invariant. This readily implies that the KLS functions, the Chow function, and the augmented Chow functions are combinatorially invariant as well.

Some properties of posets (e.g. being graded, or being Cohen–Macaulay) are *hereditary on closed intervals*, that is, if  $P$  satisfies them, so do all the closed intervals of  $P$ . By definition a family of (isomorphism classes of) posets  $\mathcal{C}$  is said to be *hereditary* if  $P \in \mathcal{C}$  implies that all the closed intervals of  $P$  lie in  $\mathcal{C}$ .

As a consequence of the above paragraph, if we prove a theorem (e.g. positivity) about all Chow polynomials of posets belonging to an hereditary class of posets, the same theorem will be true for the Chow functions of these posets. Therefore, we will often write our statements referring only to Chow polynomials of bounded posets, understanding that they carry over verbatim to Chow functions. All the preceding discussion, of course, also applies to KLS polynomials and augmented Chow polynomials.

**4.1. Basic properties and examples.** As we explained in Example 2.6, when  $\kappa = \chi$ , the left KLS function is  $g = \zeta$ . In particular, for every  $s \leq t$  we have  $g_{st}^{\text{rev}}(x) = x^{\rho_{st}}$ . By plugging this into the second of the two formulas in Theorem 3.10, we obtain the following result.

**Theorem 4.1** *Let  $P$  be a finite graded bounded poset. The  $\chi$ -Chow polynomial of  $P$  is given by:*

$$H_P(x) = \sum_{\hat{0}=p_0 < p_1 < \dots < p_m \leq \hat{1}} \prod_{i=1}^m \frac{x(x^{\rho(p_i)-\rho(p_{i-1})-1} - 1)}{x - 1}.$$

**Example 4.2** If  $P = C_n$  is a chain on  $n \geq 2$  elements, the  $\chi$ -Chow polynomial of  $P$  is given by

$$H_P(x) = (x + 1)^{n-2}.$$

The above identity can be easily proved by induction. On the other hand, if  $P = B_n$  is a Boolean lattice on  $n \geq 1$  atoms, the Chow polynomial of  $P$  is

$$H_P(x) = A_n(x),$$

the  $n$ -th *Eulerian polynomial*, which has as coefficient of degree  $i$  the number of permutations  $\sigma \in \mathfrak{S}_n$  having exactly  $i$  descents. This can be proved directly by induction, or via Theorem 4.9 appearing below, because Boolean lattices are geometric. Note that the example of Boolean lattices shows that characteristic Chow polynomials behave erratically under Cartesian products, because  $B_n$  is the  $n$ -fold Cartesian product of  $B_1$  with itself.

**Example 4.3** Consider the graded posets  $P$  (on the left) and  $Q$  (on the right) depicted in Figure 1. None of these two posets is Cohen–Macaulay. For  $P$  this is easy to see, because the flag  $h$ -vector has  $\beta_P(\{3, 4, 6\}) = -1$ , while for  $Q$  the flag  $h$ -vector is non-negative (but

Cohen–Macaulayness fails). Using the characteristic function as kernel, the left and right KLS polynomials of  $P$  and  $Q$  are given by:

$$\begin{aligned} f_P(x) &= x^2 + 1, & g_P(x) &= 1. \\ f_Q(x) &= 1, & g_Q(x) &= 1. \end{aligned}$$

And the Chow polynomials can be calculated via the formula of Theorem 4.1, yielding

$$\begin{aligned} H_P(x) &= x^5 + 8x^4 + 20x^3 + 20x^2 + 8x + 1, \\ H_Q(x) &= x^4 + 13x^3 + 25x^2 + 13x + 1. \end{aligned}$$

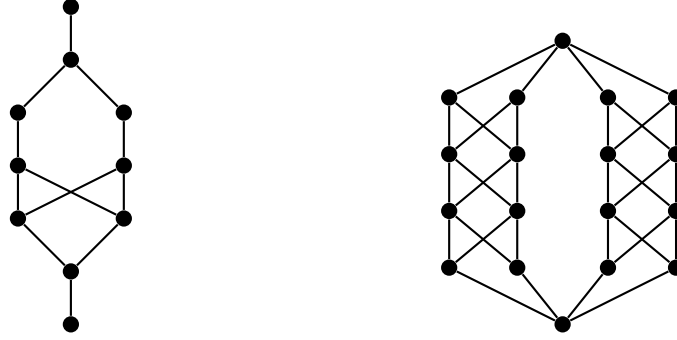


FIGURE 1. The posets  $P$  and  $Q$  in Example 4.3.

**4.2. Combinatorics of (left) augmented Chow polynomials.** Now we investigate the augmented Chow polynomials arising from this setting. As it turns out, the left augmented Chow polynomial admits a beautiful combinatorial description, while the right augmented Chow polynomial is much more complicated to understand.

**Definition 4.4** Let  $P$  be any poset. We define the *augmentation* of  $P$ , denoted  $\text{aug}(P)$  as the poset resulting by adding a minimum element to  $P$ .

At the level of the topology of the order complexes, we have that  $|\Delta(\text{aug}(P))|$  is homeomorphic to a cone over  $|\Delta(P)|$ . Also, note that if  $P$  already has a minimum element  $\hat{0}$ , then it becomes an atom of  $\text{aug}(P)$ . The poset  $P$  of Figure 1 is the augmentation of a poset that already had a minimum.

Recall that whenever we have two posets  $P$  and  $Q$ , their *ordinal sum*  $P \oplus Q$  is defined as the poset on  $P \sqcup Q$ , preserving the ordering relations of both  $P$  and  $Q$ , and imposing that  $s \leq t$  for every  $s \in P$  and  $t \in Q$ . For two graded bounded posets  $P$  and  $Q$ , we define a similar operation that we will call the *join* of  $P$  and  $Q$  and denote by  $P * Q$ . Precisely, we define  $P * Q = P \oplus (Q \setminus \{\hat{0}_Q\})$ . Note that  $P * Q$  also equals  $(P \setminus \{\hat{1}_P\}) \oplus Q$ . We point out that in other sources the join is defined in a slightly different way (see, e.g., [Sta94, p. 485]). Also, it is clear from the definitions that  $\text{aug}(P) \cong C_2 * P$ , where  $C_2$  is a chain on two elements.

**Proposition 4.5** Let  $P$  and  $Q$  be two graded bounded posets. The following formula for the characteristic Chow function of  $P * Q$  holds true:

$$H_{P*Q}(x) = H_P(x) \cdot G_Q(x) = H_P(x) \cdot H_{\text{aug}(Q)}(x).$$

*Proof.* The key observation is that for every  $s \in P$  and  $t \in Q$ , we have that

$$\chi_{st}(x) = \chi_{s, \hat{1}_P}(x) \zeta_{\hat{0}_Q, t}^{\text{rev}},$$

as  $(\mu_{P*Q})_{st} = 0$  whenever  $s < \widehat{1}_P$  and  $t > \widehat{0}_Q$ . To conclude, we may apply the formula in equation (5) with  $\kappa = \chi$ . Unravelling the convolution on that equation, we obtain:

$$\begin{aligned} H_{P*Q}(x) &= \sum_{\widehat{0}_P < w < \widehat{1}_P} \bar{\chi}_{\widehat{0}_P w}(x) H_{[w, \widehat{1}_P]*Q}(x) + \sum_{\widehat{0}_Q \leq w \leq \widehat{1}_Q} \bar{\chi}_{P*[\widehat{0}_Q, w]}(x) H_{w, \widehat{1}_Q}(x) \\ &= \sum_{\widehat{0}_P < w < \widehat{1}_P} \bar{\chi}_{\widehat{0}_P w}(x) H_{w, \widehat{1}_P}(x) G_Q(x) + \sum_{\widehat{0}_Q \leq w \leq \widehat{1}_Q} \bar{\chi}_P(x) \zeta_{\widehat{0}_Q, w}^{\text{rev}} H_{w, \widehat{1}_Q}(x) \\ &= (H_P(x) - \bar{\chi}_P(x)) G_Q(x) + \bar{\chi}_P(x) G_Q(x) \\ &= H_P(x) G_Q(x). \end{aligned} \quad \square$$

If we apply the last proposition in the case of  $P = C_2$  (a chain on two elements), we can deduce a formula for the left augmented Chow polynomial associated to the characteristic function.

**Corollary 4.6** *Let  $P$  be a graded bounded poset. The left  $\chi$ -augmented Chow polynomial of  $P$  is the  $\chi$ -Chow polynomial of  $\text{aug}(P)$ , i.e.,*

$$G_P(x) = H_{\text{aug}(P)}(x).$$

A consequence of the above corollary is that for  $\kappa = \chi$ , all left augmented Chow polynomials are themselves Chow polynomials. A natural guess for the right  $\chi$ -augmented polynomial of  $P$  would be that it results from augmenting it *from the top*, i.e., adding a maximum element above  $P$ . However, it is easy to see that this does not work.

A further corollary of Proposition 4.5 is the following product formula for the left augmented Chow polynomial of a join of posets.

**Corollary 4.7** *Let  $P$  and  $Q$  be two graded bounded posets. The left  $\chi$ -augmented Chow function of  $P * Q$  can be calculated as:*

$$G_{P*Q}(x) = G_P(x) \cdot G_Q(x).$$

*Proof.* This follows by applying twice the formula in Proposition 4.5 to the poset  $C_2 * P * Q$ . This yields:

$$G_{P*Q}(x) = H_{C_2*P}(x) G_Q(x) = G_P(x) G_Q(x). \quad \square$$

To the best of our knowledge, there is no nice product formula for the right  $\chi$ -Chow polynomial of a join of posets.

**Example 4.8** The poset  $P$  in Example 4.3 can be obtained as  $C_2 * P' * C_2$ , where  $P'$  is depicted in Figure 2. In particular, by applying the last proposition twice, it follows that:

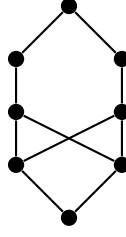
$$H_P(x) = H_{C_2}(x) \cdot G_{P'}(x) \cdot G_{C_2}(x).$$

As mentioned earlier, we have  $H_{C_2}(x) = 1$ , and it is easy to see that  $G_{C_2}(x) = x + 1$ , from which we conclude that

$$G_{P'}(x) = \frac{1}{x+1} H_P(x) = x^4 + 7x^3 + 13x^2 + 7x + 1.$$

On the other hand, the product formula of Corollary 4.7 gives:

$$G_P(x) = G_{C_2}(x) G_{P'}(x) G_{C_2}(x) = (x+1)(x^4 + 7x^3 + 13x^2 + 7x + 1).$$

FIGURE 2. The poset  $P'$ .

**4.3. From characteristic Chow functions to Chow rings of matroids.** We now turn our attention to the case where the poset  $P$  is a geometric lattice. As a consequence of Theorem 4.1, we have the following key connection with Chow rings of matroids. Recall that to any loopless matroid  $M$  one can associate its *Chow ring* via the following procedure. Denote by  $\mathcal{L}(M)$  the lattice of flats of  $M$ . Consider the polynomial ring  $S = \mathbb{Q}[x_F : F \in \mathcal{L} \setminus \{\emptyset, E\}]$ , and the homogeneous ideals

$$I = \langle x_{F_1} x_{F_2} : F_1, F_2 \in \mathcal{L}(M) \setminus \{\emptyset, E\} \text{ are incomparable} \rangle,$$

$$J = \left\langle \sum_{F \ni i} x_F - \sum_{F \ni j} x_F : i, j \in E \right\rangle.$$

The *Chow ring* of  $M$ , denoted  $\underline{\text{CH}}(M)$  is defined as the quotient ring  $S/(I + J)$ . This is a graded ring, admitting a decomposition  $\underline{\text{CH}}(M) = \underline{\text{CH}}^0(M) \oplus \cdots \oplus \underline{\text{CH}}^{r-1}(M)$ , where  $r = \text{rk}(M)$  is the rank of the matroid. The following was one of our main results in the prequel [FMSV24]. For the sake of completeness, we reformulate its proof adapted to the framework of the present paper.

**Theorem 4.9** *Let  $M$  be a loopless matroid. The  $\chi$ -Chow polynomial of  $\mathcal{L}(M)$  equals the Hilbert series of the Chow ring  $\underline{\text{CH}}(M)$ .*

*Proof.* In [FY04, Theorem 1] Feichtner and Yuzvinsky computed a Gröbner basis for the ring  $\underline{\text{CH}}(M)$ . From their computation, it follows that  $\underline{\text{CH}}(M)$  is isomorphic (as a  $\mathbb{Z}$ -module) to the integer span of the monomials

$$\left\{ x_{F_1}^{e_1} \cdots x_{F_m}^{e_m} : \emptyset = F_0 \subsetneq \cdots \subsetneq F_m : 0 \leq e_i < \text{rk}(F_i) - \text{rk}(F_{i-1}) - 1 \text{ for } 1 \leq i \leq m \right\}.$$

From this, it follows that

$$\text{Hilb}(\underline{\text{CH}}(M), x) = \sum_{\emptyset = F_0 \subsetneq F_1 \subsetneq \cdots \subsetneq F_m} \prod_{i=1}^m \frac{x(1 - x^{\text{rk}(F_i) - \text{rk}(F_{i-1}) - 1})}{1 - x},$$

which agrees with the  $\chi$ -Chow polynomial of  $\mathcal{L}(M)$ .  $\square$

**Remark 4.10** Pagaria and Pezzoli found a Gröbner basis for the Chow ring of a loopless polymatroid [PP23, Theorem 2.7]. In fact, the preceding proof yields that the Hilbert series of the Chow ring of a loopless polymatroid corresponds to the  $\chi$ -Chow function of the lattice of flats of a polymatroid (with the caveat that the rank function  $\rho$  is the polymatroid rank function). It is tempting to ask if it is possible to construct a “Chow ring” for any graded poset  $P$ . We will address this question in Section 4.6.

Let us recapitulate the following construction by Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22b]. The *augmented Chow ring* of a matroid  $M$  is the quotient

$$\text{CH}(M) = \mathbb{Q}[x_F, y_i : F \in \mathcal{L}(M) \setminus \{E\} \text{ and } i \in E] / (I + J),$$

where  $E$  is the ground set of the matroid, and the ideals  $I$  and  $J$  are defined respectively by

$$I = \left\langle y_i - \sum_{F \ni i} x_F : i \in E \right\rangle,$$

$$J = \langle x_{F_1} x_{F_2} : F_1, F_2 \in \mathcal{L}(M) \setminus \{E\} \text{ are incomparable} \rangle + \langle y_i x_F : F \in \mathcal{L}(M) \setminus \{E\}, i \notin F \rangle.$$

The augmented Chow ring of  $M$  is graded, and  $\text{CH}(M) = \text{CH}^0(M) \oplus \cdots \oplus \text{CH}^r(M)$ , where  $r = \text{rk}(M)$ . We have the following description of the left augmented Chow polynomial arising from the characteristic function of a geometric lattice.

**Theorem 4.11** *Let  $M$  be a loopless matroid. The left  $\chi$ -augmented Chow polynomial equals the Hilbert series of the augmented Chow ring of  $M$ .*

*Proof.* The proof is very similar to that of Theorem 4.9. The key ingredient is again the Gröbner basis computation of Feichtner and Yuzvinsky [FY04], together with [EHL23, Section 5]. We omit the details here and refer to [FMSV24, Proposition 6.3] instead.  $\square$

Recently, Larson [Lar24] studied matroid Chow rings from the perspective of Hodge algebras (which are also known as algebras with a *straightening law*). He was able to prove a decomposition of matroid Chow rings and augmented Chow rings in terms of matroid truncations. As a consequence, in [Lar24, Corollary 3.5] he derived recursions for the Chow polynomials. As we will now demonstrate, Larson's recursions continue to hold true for arbitrary graded bounded posets. Furthermore, they hint an analogous formula for the right augmented Chow polynomials which is a very non-obvious result when specialized to the case of matroids.

To this end, for a graded bounded poset  $P$ , define the *truncation* of  $P$  to be the subposet consisting of all elements of  $P$  except the coatoms of  $P$ . We will denote this poset by  $\text{trunc}(P)$ .

**Proposition 4.12** (Larson's recursions) *Let  $P$  be a graded bounded poset. The  $\chi$ -Chow polynomial of  $P$  satisfies:*

$$H_P(x) = 1 + x \sum_{\substack{t \in P \\ \rho(t) > 1}} H_{\text{trunc}([\widehat{0}, t])}(x).$$

Furthermore, the left  $\chi$ -augmented Chow polynomial of  $P$  satisfies:

$$G_P(x) = 1 + x \sum_{t \neq \widehat{0}} G_{\text{trunc}([\widehat{0}, t])}(x)$$

*Proof.* By induction on the rank of  $P$ . If  $\rho(P) = 0$ , then it trivially holds. If  $\rho(P) \geq 1$ , then we need to show that the right hand side of the equation counts the chains of elements as in Theorem 4.1. If the chain is empty then the corresponding monomial is 1. If the chain is not empty then it has a maximal element, say  $p_m = t$ . The rank of  $t$  has to be greater than one, otherwise the corresponding monomial is equal to zero. Moreover, the second to last element in the chain  $p_{m-1}$  has to satisfy  $\rho_{p_{m-1}t} > 1$ , since otherwise  $t$  would not give us a non-zero monomial. This gives an explicit bijection between chains ending in  $t$  and

chains in the truncation of the interval  $[\widehat{0}, t]$ . The formula for  $G$  follows immediately, after considering the augmentation of  $P$  and using Corollary 4.6.  $\square$

The preceding two formulas by Larson motivated us to search an analogous recursion for the right augmented Chow polynomial. The following result achieves so, but in a much less straightforward way. Furthermore, this is a non-trivial decomposition when viewed under the lens of the Hodge theory of matroids, because in that case it involves intersection cohomologies of truncations.

**Proposition 4.13** *Let  $P$  be a graded bounded poset. Then the right augmented  $\chi$ -Chow polynomial of  $P$  satisfies:*

$$F_P(x) = Z_P(x) + x \sum_{t \neq \widehat{0}} F_{\text{trunc}([\widehat{0}, t])}(x) Z_{t\widehat{1}}(x).$$

*Proof.* Let  $H$  be the  $\chi$ -Chow function of a finite graded bounded poset  $P$ . Let  $\text{trunc}(P)$  be the truncation as in Proposition 4.12. We claim that

$$\sum_{s \leq w \leq t} H_{sw}(x) \mu_{wt} = \begin{cases} 1 & \text{if } \rho_{st} = 0, \\ 0 & \text{if } \rho_{st} = 1, \\ x H_{\text{trunc}([s, t])}(x) & \text{otherwise.} \end{cases}$$

To see this, let us proceed by induction. If  $\rho_{st} \leq 1$ , the result is trivial. If  $s < t$ , then

$$\begin{aligned} \sum_{s \leq w \leq t} H_{sw}(x) \mu_{wt} &= \mu_{st} + \sum_{s < w \leq t} H_{sw}(x) \mu_{wt} \\ &= \mu_{st} + \sum_{s < w \leq t} \left( \sum_{s < u \leq w} \bar{\chi}_{su}(x) H_{uw}(x) \right) \mu_{wt} \\ &= \mu_{st} + \sum_{s < u \leq t} \bar{\chi}_{su}(x) \left( \sum_{u \leq w \leq t} H_{uw}(x) \mu_{wt} \right) \\ &= \bar{\chi}_{st}(x) + \mu_{st} + x \sum_{\substack{s < u < t \\ \rho_{ut} > 1}} \bar{\chi}_{su} H_{\text{trunc}([u, 1])}(x) \\ &= x \bar{\chi}_{\text{trunc}([s, t])}(x) + x \sum_{\substack{u \in \text{trunc}([s, t]) \\ u \neq s}} \bar{\chi}_{su} H_{\text{trunc}([u, 1])}(x), \end{aligned}$$

where in the fourth equality we used the inductive hypothesis (notice how asking for  $\rho_{ut} > 1$  coincides with considering all the elements excepts the coatoms, i.e., truncating the poset) and in the fifth equality we used that  $\chi_{\text{trunc}(P)}(x) = \chi_P(x) + (x-1)\mu_P$ . We can conclude via the definition of Chow function as  $H = -(\bar{\chi})^{-1}$ . Now, to prove the formula of the statement, we first write

$$F = Hf^{\text{rev}} = H\chi f = H\mu\zeta^{\text{rev}} f = H\mu Z.$$

We can then use the above convolution, so to write

$$F_P(x) = Z_P(x) + x \sum_{\substack{t \in P \\ t \neq \widehat{0}}} H_{\text{trunc}([\widehat{0}, t])}(x) Z_{t\widehat{1}}(x),$$

and the proof is complete.  $\square$



**4.4. The interplay with matroid Hodge theory.** The main result of Adiprasito, Huh, and Katz in [AHK18] is a remarkable feature of Chow rings of matroids. They satisfy a trio of properties known as the *Kähler package*. These properties are, respectively, *Poincaré duality* (PD), *the Hard Lefschetz theorem* (HL), and *the Hodge–Riemann bilinear relations* (HR). In the Chow ring there is a distinguished map  $\deg_M : \underline{\text{CH}}^{r-1}(\mathbb{M}) \rightarrow \mathbb{Q}$  called *degree map*, defined by requiring that the product of the variables corresponding to a maximal flags of non-empty flats is sent to 1.

**Theorem 4.14** ([AHK18, Theorem 1.4 & Theorem 6.19]) *Let  $\mathbb{M}$  be a loopless matroid of rank  $r$  and let  $\ell \in \underline{\text{CH}}^1(\mathbb{M})$ . Then the following holds:*

(PD) *For every  $0 \leq j \leq r-1$ , the bilinear pairing  $\underline{\text{CH}}^j(\mathbb{M}) \times \underline{\text{CH}}^{r-1-j}(\mathbb{M}) \rightarrow \mathbb{Q}$ , defined by*

$$(\eta, \xi) \mapsto \deg_M(\eta \xi),$$

*is non-degenerate, i.e., the map  $\underline{\text{CH}}^j \rightarrow \text{Hom}(\underline{\text{CH}}^{r-1-j}, \mathbb{Q})$  defined by*

$$\eta \mapsto (\xi \mapsto \deg_M(\eta \xi))$$

*is an isomorphism.*

(HL) *For every  $0 \leq j \leq \lfloor \frac{r-1}{2} \rfloor$ , the map  $\underline{\text{CH}}^j(\mathbb{M}) \rightarrow \underline{\text{CH}}^{r-1-j}(\mathbb{M})$ , defined by*

$$\xi \mapsto \ell^{r-1-2j} \xi,$$

*is an isomorphism.*

(HR) *For every  $0 \leq j \leq \lfloor \frac{r-1}{2} \rfloor$ , the bilinear symmetric form  $\underline{\text{CH}}^j(\mathbb{M}) \times \underline{\text{CH}}^j(\mathbb{M}) \rightarrow \mathbb{Q}$ , defined by*

$$(\eta, \xi) \mapsto (-1)^j \deg_M(\eta \ell^{r-1-2j} \xi),$$

*is positive definite when restricted to  $\{\alpha \in \underline{\text{CH}}^j(\mathbb{M}) : \ell^{k-2j} \alpha = 0\}$ .*

Poincaré duality guarantees that  $\dim \underline{\text{CH}}^j(\mathbb{M}) = \dim \underline{\text{CH}}^{r-1-j}(\mathbb{M})$ , which in turn says that the Hilbert series of  $\underline{\text{CH}}(\mathbb{M})$  is a symmetric polynomial with center of symmetry  $\frac{1}{2}(r-1)$ . Furthermore, the Hard Lefschetz theorem guarantees that this Hilbert series is unimodal. A completely analogous result was proved for the augmented Chow ring  $\text{CH}(\mathbb{M})$  by Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22b], which thus says that the Hilbert series of the augmented Chow ring is symmetric with center of symmetry  $\frac{1}{2}r$  and unimodal.

A prominent object in the *singular* Hodge theory of matroids is the intersection cohomology module of a matroid. We briefly indicate how it is defined. First, consider the *graded Möbius algebra* of  $\mathcal{L}(\mathbb{M})$ . This has a variable  $y_F$  for each element  $F \in \mathcal{L}(\mathbb{M})$  and the product is defined by  $y_F \cdot y_{F'} = y_{F \vee F'}$  whenever  $\text{rk}(F) + \text{rk}(F') = \text{rk}(F \vee F')$ , and zero otherwise. The augmented Chow ring  $\text{CH}(\mathbb{M})$  admits a natural structure of  $\text{H}(\mathbb{M})$ -module, and by the Krull-Schmidt theorem there exists a unique indecomposable graded  $\text{H}(\mathbb{M})$ -submodule of  $\text{CH}(\mathbb{M})$  containing the degree zero piece  $\text{CH}^0(\mathbb{M})$ . This is precisely the *intersection cohomology module* of  $\mathbb{M}$ , and denoted  $\text{IH}(\mathbb{M})$ . The tensor product  $\text{IH}(\mathbb{M}) \otimes_{\text{H}(\mathbb{M})} \mathbb{Q}$  is called the *stalk of  $\text{IH}(\mathbb{M})$  at the empty set*, and is denoted  $\text{IH}(\mathbb{M})_\emptyset$ .

One of the main results of Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22b, Theorem 1.9] is that the  $Z$ -polynomial arising from  $P = \mathcal{L}(\mathbb{M})$  using  $\chi$  as the  $P$ -kernel is precisely the Hilbert series of  $\text{IH}(\mathbb{M})$ , whereas the right KLS polynomial is the Hilbert series of  $\text{IH}_\emptyset(\mathbb{M})$ .

A crucial step in the big induction performed in [BHM<sup>+</sup>22b] are the so-called *canonical decompositions* appearing in [BHM<sup>+</sup>22b, Definition 3.8].

- $\underline{\text{CD}}(\mathbf{M})$ : The Chow ring can be decomposed as:

$$\underline{\text{CH}}(\mathbf{M}) = \underline{\text{IH}}(\mathbf{M}) \oplus \bigoplus_{\emptyset \neq F < E} \underline{\text{K}}_F(\mathbf{M}). \quad (21)$$

- $\text{CD}(\mathbf{M})$ : The augmented Chow ring can be decomposed as:

$$\text{CH}(\mathbf{M}) = \text{IH}(\mathbf{M}) \oplus \bigoplus_{F < E} \text{K}_F(\mathbf{M}). \quad (22)$$

The modules  $\underline{\text{IH}}(\mathbf{M})$ ,  $\text{K}_F(\mathbf{M})$ , and  $\underline{\text{K}}_F(\mathbf{M})$  have more complicated definitions, so we refer the reader to [BHM<sup>+</sup>22b, Definition 3.1]. With some effort, it can be proved that the numerical canonical decomposition that we proved in equation (14) is precisely what results from computing the graded dimensions of each of the individual summands appearing in the canonical decomposition for the Chow ring (21). Analogously, the augmented numerical canonical decomposition appearing in equation (20) is what results from (22) after computing the Hilbert series. We stress once more the relevance of Theorem 3.10 and Theorem 3.16 as they are statements that hold true even beyond the existence of analogs of all these modules.

**Remark 4.15** As said above, the canonical decompositions of Braden, Huh, Matherne, Proufoot, and Wang can be seen as categorical versions of equations (14) and (20). We do not know if it is possible to construct modules that explain the validity of the numerical canonical decompositions appearing in (15) and (19). For example, while by Theorem 4.11 we know that  $G_{\mathcal{G}(\mathbf{M})}(x)$  is the Hilbert series of the augmented Chow ring of  $\mathbf{M}$ , we were not able to find in the literature any known structure (e.g., a graded ring) having  $F_{\mathcal{G}(\mathbf{M})}(x)$  as its Hilbert series.

**Question 4.16** Let  $\mathbf{M}$  be a loopless matroid. Does there exist a graded ring (or module) having  $F_{\mathcal{G}(\mathbf{M})}(x)$  as its Hilbert series?

Botong Wang (private communication) observed that the apparent geometric object one should consider (in the realizable case) is the closure of the affine cone of the reciprocal plane in the stellahedral variety. In the language of [BHM<sup>+</sup>22b], one should be able to provide a module-theoretic definition of this “right augmented Chow module”, motivated from the geometric picture.

A natural follow-up question is whether this purported “right augmented Chow module” satisfies the Kähler package. As we will explain below, there are combinatorial reasons to believe so, at least for what concerns the Hard Lefschetz property. Specifically, as we will show in Corollary 4.30, the right augmented Chow polynomial of a geometric lattice is unimodal (in fact,  $\gamma$ -positive).

Before finishing this section, we comment that the numerical canonical decomposition appearing in equation (14) gives as an immediate corollary the following new identity at the level of matroids. For the sake of future reference, we will state it using the well-established notation in matroid theory, i.e.,  $P_{\mathbf{M}}(x)$  will denote the Kazhdan–Lusztig polynomial of  $\mathbf{M}$  and  $\underline{\text{H}}_{\mathbf{M}}(x)$  the Chow polynomial of  $\mathbf{M}$ .

**Corollary 4.17** *Let  $\mathbf{M}$  be a loopless matroid of rank  $r$  on  $E$ . Then,*

$$\underline{\text{H}}_{\mathbf{M}}(x) = \frac{x^{\text{rk}(\mathbf{M})} P_{\mathbf{M}}(x^{-1}) - P_{\mathbf{M}}(x)}{x - 1} + \sum_{\substack{F \neq \emptyset \\ F \neq E}} \underline{\text{H}}_{\mathbf{M}|_F}(x) \frac{x^{r - \text{rk}(F)} P_{\mathbf{M}/F}(x^{-1}) - x P_{\mathbf{M}/F}(x)}{x - 1}.$$

**4.5. Unimodality, gamma-positivity, and flag  $h$ -vectors.** We now turn back to the more general case of bounded graded posets. Since the left KLS function associated to  $\chi$  is non-negative we can apply Theorem 3.12 to conclude the following.

**Theorem 4.18** *Let  $P$  be a graded bounded poset. The  $\chi$ -Chow polynomial of  $P$  is non-negative and unimodal.*

The strength of the above statement should not be underestimated. As explained in the last subsection, in the case of geometric lattices (that is, lattices of flats of matroids), the above result follows from applying the Hard Lefschetz theorem on the Chow ring. In our case, there is a priori no such ring (cf. Section 4.6), but nonetheless the numerical shadow of its validity continues to hold true.

In [FMSV24, Theorem 3.25] Ferroni, Matherne, Stevens, and Vecchi proved that when  $P = \mathcal{L}(M)$  is the lattice of flats of the matroid  $M$ , then the  $\chi$ -Chow polynomial and the left  $\chi$ -Chow polynomial are in fact  $\gamma$ -positive. The key ingredient in the proof is the semi-small decomposition for Chow rings and augmented Chow rings proved by Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22a].

Recently, based on a preliminary version of the theory developed in the present manuscript, Stump [Stu24] proved a more general result: if  $P$  is a poset admitting an  $R$ -labelling, then the  $\chi$ -Chow polynomial and the left  $\chi$ -Chow polynomial are  $\gamma$ -positive. There is a strict chain of implications:

$$\text{Geometric lattice} \implies R\text{-labelled} \implies \text{Cohen-Macaulay} \implies \text{Graded.}$$

(There are numerous notions of shellability that can be added to the above chain of implications, but we will not deal with them here, so we omit them.) We already know that only assuming that the poset is graded, the Chow function is unimodal, but the following example shows that  $\gamma$ -positivity may fail.

**Example 4.19** Consider the poset  $P$  whose Hasse diagram is depicted on the left in Figure 3. The  $\chi$ -Chow polynomial equals:

$$H_P(x) = x^4 + 7x^3 + 11x^2 + 7x + 1.$$

This polynomial is not  $\gamma$ -positive, because  $\gamma_P(x) = -x^2 + 3x + 1$ . Of course, one expects that  $P$  is not Cohen-Macaulay, which can be seen from the fact that  $\beta_P(\{2, 4\}) = -1$ .

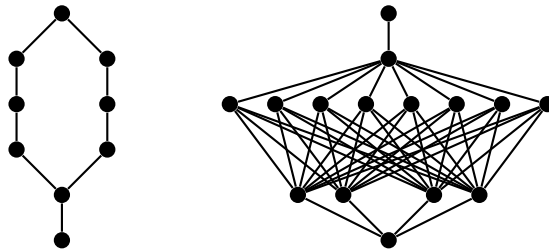


FIGURE 3. The posets of Example 4.19 and Remark 4.31

Our goal now is to generalize Stump’s result even further, by proving that Cohen-Macaulayness is sufficient for the  $\gamma$ -positivity of  $\chi$ -Chow functions.

**Theorem 4.20** *Let  $P$  be a Cohen-Macaulay poset. The  $\chi$ -Chow polynomial of  $P$  is  $\gamma$ -positive.*

The proof that we will provide is inherently technical, as one cannot a priori rely on any nice labelling for the poset. We will in fact prove a general relation between the flag  $h$ -vector and the  $\chi$ -Chow polynomial of  $P$  (cf. Theorem 4.25), from where Theorem 4.20 will be an immediate corollary.

Before moving to the proof of Theorem 4.20, let us point out that this result along with Corollary 4.6 imply that if  $P$  is Cohen–Macaulay, then the left augmented  $\chi$ -Chow polynomial  $G_P(x)$  is  $\gamma$ -positive. The reason is that  $\text{aug}(P)$  is Cohen–Macaulay too, as the order complex of  $\Delta(\text{aug}(P))$  is a cone over  $\Delta(P)$ , and coning preserves Cohen–Macaulayness. Let us record this statement.

**Corollary 4.21** *Let  $P$  be a Cohen–Macaulay poset. The left augmented  $\chi$ -Chow polynomial  $G_P(x)$  is  $\gamma$ -positive.*

We will prepare the proof with a few preliminary lemmas. The following notation will be instrumental throughout the proof.

$S \subseteq \mathbb{Z}$  is said to be *good* if  $S$  does not contain 1 nor any two consecutive integers.

We now define an auxiliary family of polynomials. For each non-negative integer  $r$ , the polynomial  $1 + x + \cdots + x^r$  is symmetric, so we can consider its associated  $\gamma$ -polynomial, which we will denote by  $W_r(x)$ . We additionally define  $W_{-1}(x) = 0$ . Notice that  $W_r(x)$  in fact has negative coefficients for  $r \geq 2$ , as the polynomial  $1 + \cdots + x^r$  is not  $\gamma$ -positive when  $r > 1$ .

**Lemma 4.22** *The polynomial  $W_r(x)$  can be computed as:*

$$W_r(x) = \sum_{j=0}^{\lfloor r/2 \rfloor} (-1)^j \binom{r-j}{j} x^j = \sum_{\substack{T \subseteq [m] \\ T \text{ good}}} (-x)^{|T|}.$$

*Proof.* The first equality follows from an elementary computation, using the definition of the polynomial  $W_r(x)$  as the  $\gamma$ -polynomial of  $1 + \cdots + x^r$ . To prove the second equality, it suffices to show that:

$$|\{T \subseteq [r] : |T| = j, T \text{ good}\}| = \binom{r-j}{j}.$$

We do this by induction. For  $j = 0$ , the last equality is trivially true. Now, for  $j \geq 1$ , we enumerate the good sets as follows. We choose an element  $i \in [2, m]$  and count how many good sets of size  $j$  have  $i$  as minimum element. Note that  $i \leq m - 2(j - 1)$ , otherwise we cannot find other  $j - 1$  elements bigger than  $i$  to form a good set, as necessarily there would be two consecutive integers among the choice. Inductively, we shall assume that the number of good sets of size  $j - 1$  in the interval  $[i, m]$  as  $\binom{m-i-j}{j-1}$ . Then,

$$\sum_{i=2}^{m-2j+2} \binom{m-i-j}{j-1} = \sum_{\ell=0}^{m-2j} \binom{j-1+\ell}{j-1} = \binom{m-j}{j},$$

where the last equality follows from applying the “hockey-stick identity”.  $\square$

We will also need the following refinement of the previous lemma.

**Lemma 4.23** *Let  $T = \{r_1, \dots, r_m\} \subseteq [r-1]$  be a good set. Then, we have:*

$$\left[ \prod_{i=1}^m W_{r_i - r_{i-1} - 2}(x) \right] W_{r - r_m - 1}(x) = \sum_{\substack{S \supseteq T \\ S \text{ good}}} (-x)^{|S \setminus T|}.$$

*Proof.* To show this, we fix a good set  $T$  and partition the interval  $[r]$  as

$$[r] = [1, r_1] \sqcup [r_1 + 1, r_2] \sqcup \dots \sqcup [r_{m-1} + 1, r_m] \sqcup [r_m + 1, r].$$

Notice that by taking the last element of each block (except for the last one) we recover  $T$ . Moreover, each interval  $T_i = [r_{i-1} + 1, r_i]$  has length  $r_i - r_{i-1}$  (where we set  $r_0 = 0$  and  $r_{m+1} = r$ ). The good sets  $S \supseteq T$  arise by picking subsets  $S_i \subseteq T_i$  such that

- $r_i \in S_i$
- $r_{i-1} + 1 \notin S_i$
- $S_i$  does not contain two consecutive integers.

These are clearly in bijection with the good sets of  $[r_{i-1} + 1, r_i - 1]$ , which are counted by  $W_{r_i - r_{i-1} - 2}(x)$  for  $i \leq s$  and  $W_{r - r_m - 1}(x)$  for  $i = m + 1$ . We can then conclude by the previous lemma.  $\square$

The relevance of the polynomials  $W_r(x)$  stems from the following re-interpretation for the numerical canonical decomposition for  $\chi$ -Chow polynomials. To avoid overloading our notation, we will write

$$\gamma_P(x) := \gamma(\mathbb{H}_P, x).$$

**Lemma 4.24** *Let  $P$  be a graded bounded poset of rank  $r$ . The  $\chi$ -Chow polynomial of  $P$  satisfies the following recursion:*

$$\gamma_P(x) = W_{r-1}(x) + x \sum_{\widehat{0} < t < \widehat{1}} W_{\rho(t)-2}(x) \gamma_{[t, \widehat{1}]}(x).$$

*In particular, the polynomial  $\gamma_P(x)$  can be computed with the following non-recursive formula:*

$$\gamma_P(x) = \sum_{\substack{S \subseteq [r-1] \\ S \text{ good} \\ S = \{r_1, \dots, r_m\}}} x^m \alpha_P(S) \left[ \prod_{i=1}^m W_{r_i - r_{i-1} - 2}(x) \right] W_{r - r_s - 1}(x).$$

*Proof.* From the numerical canonical decomposition (15), the fact that  $g$  is identically one allows us to write:

$$\mathbb{H}_P(x) = \frac{x^r - 1}{x - 1} + \sum_{\widehat{0} < w < \widehat{1}} \frac{x^{\rho(w)} - x}{x - 1} \mathbb{H}_{[w, \widehat{1}]}(x).$$

All the summands in the above display have the same center of symmetry, and hence the  $\gamma$ -polynomial can be computed using the asserted recursion. Now, by composing this recursion with itself, we can rewrite  $\gamma_P(x)$  as a sum over chains of  $P$ :

$$\gamma_P(x) = \sum_{\widehat{0} = t_0 < \dots < t_m \leq \widehat{1}} W_{r - \rho(t_s) - 1} \prod_{i=1}^m x W_{\rho(t_i) - \rho(t_{i-1}) - 2}$$

Since by definition  $W_{-1}(x) = 0$ , we shall assume that each summand is indexed by a chain whose elements have ranks forming a good set. Thus, we obtain the non-recursive formula of our statement.  $\square$

By combining carefully all the previous lemmas, we are ready to state and prove the central statement of this section.

**Theorem 4.25** *Let  $P$  be a graded bounded poset of rank  $r$ . The  $\chi$ -Chow polynomial of  $P$  can be computed from the flag  $h$ -vector as follows:*

$$\gamma_P(x) = \sum_{\substack{S \subseteq [r-1] \\ S \text{ good}}} \beta_P(S) x^{|S|}. \quad (23)$$

*Proof.* Define the polynomial  $\tilde{\gamma}_P(x)$  as the right-hand-side in equation (23), and rewrite  $\tilde{\gamma}_P(x)$  in terms of the flag  $f$ -vector. One obtains:

$$\begin{aligned} \tilde{\gamma}_P(x) &= \sum_{\substack{S \subseteq [r-1] \\ S \text{ good}}} \left( \sum_{\substack{T \subseteq S}} (-1)^{|S|-|T|} \alpha_P(T) \right) x^{|S|} \\ &= \sum_{\substack{T \subseteq [r-1] \\ T \text{ good}}} (-1)^{|T|} \alpha_P(T) \left( \sum_{\substack{S \supseteq T \\ S \text{ good}}} (-x)^{|S|} \right). \end{aligned}$$

Notice that we interchanged the order of the summation, and used that if  $S$  is good, then any subset  $T \subseteq S$  is good too. We can apply Lemma 4.23, to obtain:

$$\tilde{\gamma}_P(x) = \sum_{\substack{T \subseteq [r-1] \\ T \text{ good}}} (-1)^{|T|} \alpha_P(T) (-x)^{|T|} \left[ \prod_{i=1}^m W_{r_i - r_{i-1} - 2}(x) \right] W_{r - r_s - 1}(x),$$

and the formula in Lemma 4.24 says precisely that the right-hand-side is  $\gamma_P(x)$ .  $\square$

As a consequence of the above statement and the non-negativity of the flag  $h$ -vector of a Cohen–Macaulay poset, we conclude the validity of Theorem 4.20. In an independent work, and simultaneously to the writing of the present paper, Liao [Lia24] proved a conjecture of Angarone, Nathanson, and Reiner [ANR23] on the equivariant  $\gamma$ -positivity of Chow rings of matroids, using techniques that are similar to our proof of Theorem 4.20.

It is sensible to ask if the stronger property of real-rootedness holds. When  $P$  is a geometric lattice, this is an outstanding conjecture by Ferroni and Schröter [FS24, Conjecture 8.18]. Based on numerous experiments, we go beyond, and conjecture its validity for any Cohen–Macaulay poset.

**Conjecture 4.26** *Let  $P$  be a Cohen–Macaulay poset. The  $\chi$ -Chow polynomial of  $P$  is real-rooted.*

The subtlety of the above conjecture must not be underestimated. As Stanley comments in [Sta96, p. 101], finding a characterization of the flag  $h$ -vectors of Cohen–Macaulay posets seems to be very difficult. On the other hand, proving the last conjecture would also imply that the left augmented Chow polynomial of a Cohen–Macaulay poset is real-rooted, which in the case of a geometric lattice is equivalent to a conjecture posed by Huh [Ste21]. Many operations on posets are known to preserve the Cohen–Macaulay property (see [Bac80, BGS82]). It would be of interest to see if some of these properties also preserve the real-rootedness of the  $\chi$ -Chow polynomials.

**Example 4.27** *There exist (non Cohen–Macaulay) posets for which the Chow polynomial is  $\gamma$ -positive but not real-rooted. The smallest one that we have been able to find has rank 12 and 28 elements, and its  $\gamma$ -polynomial is*

$$\gamma(H_P, x) = 3x^5 + 18x^4 + 41x^3 + 33x^2 + 10x + 1,$$



but  $H_P(x)$  fails to be real-rooted, because it has a pair of complex conjugate roots near  $-1.76 \pm 0.25i$ . The flag  $h$ -polynomial of our poset  $P$  has many negative coefficients.

**Remark 4.28** In [FMSV24, Conjecture 5.7] we conjectured that if  $P$  is a geometric lattice, then  $H_P(x)$  interlaces  $G_P(x)$ . Experiments also suggest that this phenomenon may still be true for a Cohen–Macaulay poset. Under the assumption on  $P$  being a geometric lattice,  $F_P(x)$  appears to also be real-rooted and interlaced by  $H_P(x)$ .

Before ending this section we address the case of the right augmented Chow polynomial. We can prove the following result.

**Proposition 4.29** *Let  $\mathcal{C}$  be a hereditary class of graded bounded posets that is closed under truncations. Consider the  $Z$ -function and the right augmented Chow function arising from  $\chi$ .*

- (i) *If  $Z$  is unimodal on all posets in  $\mathcal{C}$ , then so is  $F$ .*
- (ii) *If  $Z$  is  $\gamma$ -positive on all posets of  $\mathcal{C}$ , then so is  $F$ .*

*Proof.* We rely on the right augmented version of Larson’s decomposition, proved in Proposition 4.13. The formula proved in that statement shows that

$$F_{st}(x) = Z_{st}(x) + x \sum_{w \neq s} F_{\text{trunc}([s,w])}(x) Z_{wt}(x).$$

Each summand  $F_{\text{trunc}([s,w])}(x) Z_{wt}(x)$  is a product of two symmetric polynomials. Assuming inductively that the first is unimodal (resp.  $\gamma$ -positive) and that the second is unimodal (resp.  $\gamma$ -positive), then so is their product. Furthermore, all of the summands are symmetric with center of symmetry  $\frac{1}{2}(\rho(t) - \rho(s) - 1)$ . The factor  $x$  preserves unimodality (resp.  $\gamma$ -positivity), and adding the unimodal (resp.  $\gamma$ -positive) term  $Z_{st}(x)$ , which has the correct center of symmetry, the proof follows.  $\square$

One of the main results of Ferroni, Matherne, Stevens, and Vecchi in [FMSV24, Theorem 4.7] establishes that when  $P$  is a geometric lattice, the  $Z$ -polynomial arising from the characteristic function is  $\gamma$ -positive (unimodality was proved first via Hard Lefschetz by Braden, Huh, Matherne, Proudfoot, and Wang [BHM<sup>+</sup>22b, Theorem 1.2(2)]). In particular, we obtain the following corollary to the above proposition.

**Corollary 4.30** *If  $P$  is a geometric lattice, then  $F_P(x)$  is  $\gamma$ -positive.*

**Remark 4.31** It is reasonable to ask whether only assuming the Cohen–Macaulayness of  $P$  would be enough to conclude the  $\gamma$ -positivity of the right augmented Chow polynomial and the  $Z$ -polynomial. The answer is negative in a strong sense: there exist Cohen–Macaulay posets for which the right augmented Chow polynomial and the  $Z$ -polynomial fail to even be positive. For example, consider the poset  $P$  depicted on the right in Figure 3. The number of elements at each rank in  $P$  is 1, 4, 8, 1, and 1, where all the comparability relations between consecutive levels are added. It is straightforward to check that this is a Cohen–Macaulay poset. We have

$$\begin{aligned} F_P(x) &= x^4 + 11x^3 - x^2 + 11x + 1, \\ Z_P(x) &= x^4 + x^3 - 20x^2 + x + 1. \end{aligned}$$

Note that the proof we indicated for geometric lattices relies on the heavy machinery of the singular Hodge theory of matroids, because the proof of the  $\gamma$ -positivity of the  $Z$ -polynomial uses the non-negativity of the Kazhdan–Lusztig polynomials of matroids

[FMSV24, Remark 4.8]. In this case, the poset  $P$  has right KLS polynomial equal to  $f_P(x) = -3x + 1$ . This example shows that there is a huge leap between the behavior of  $F$  and  $Z$  for Cohen–Macaulay posets versus geometric lattices. For the sake of clarity, we have summarized these and further facts about characteristic Chow functions in Table 1.

	<b>positivity</b>	<b>unimodality</b>	<b><math>\gamma</math>-positivity</b>	<b>real-rootedness</b>
H	true for all posets	true for all posets	true for all CM posets, false in general	conjectured for all CM posets
$F$	true for geometric lattices, false for CM and general	true for geometric lattices, false for CM and general	true for geometric lattices, false for CM and general	unknown for geometric lattices
$G$	posets true for all posets	posets true for all posets	posets true for CM posets, false in general	conjectured for all CM posets

TABLE 1. A list of properties for polynomials associated to the  $\chi$ -Chow polynomial of various posets.

**4.6. A Chow ring for arbitrary graded posets?** We believe it is impossible to resist the temptation of asking if one can associate to each graded bounded poset  $P$  a graded Artinian ring  $A(P)$ , in such a way that the Hilbert series of  $A(P)$  matches the Chow polynomial  $H_P(x)$ .

Furthermore, if such a ring exists, it is sensible to expect that it satisfies the following properties:

- (i) Poincaré duality, because  $H_P(x)$  is palindromic by Proposition 3.4.
- (ii) A version of the Hard Lefschetz theorem, because  $H_P(x)$  is unimodal by Theorem 4.18.
- (iii) Some analog of Larson’s decompositions [Lar24], because  $H_P(x)$  satisfy the corresponding recursions by Proposition 4.12.
- (iv) When  $P$  is a geometric lattice,  $A(P)$  is isomorphic to the Chow ring defined by Feichtner and Yuzvinsky in [FY04].

We have attempted to construct such a ring, but we have not been able to do so. To give an example of a reasonable guess, consider the polynomial ring  $S = \mathbb{Q}[x_s : s \in P \setminus \{\widehat{0}\}]$ , and consider the ideal  $I \subseteq S$  generated by the polynomials:

$$x_s x_t \quad \text{for all } s \text{ and } t \text{ that do not compare,} \quad (24)$$

$$x_s \left( \sum_{w \geq t} x_w \right)^{\rho(t) - \rho(s)} \quad \text{for all } s < t, \quad (25)$$

$$\left( \sum_{t \geq s} x_t \right)^{\rho(s)} \quad \text{for all } s. \quad (26)$$

By [FY04, Theorem 1], when  $P$  is a geometric lattice, the quotient ring  $S/I$  is precisely the Chow ring of any matroid having  $P$  as its lattice of flats. However, if  $P$  is not a geometric lattice, the ring obtained by taking the quotient  $S/I$  does not yield the desired polynomial  $H_P(x)$  as its Hilbert series often fails to be palindromic.

Note that some other results that we have, such as the formula for the join of two posets,  $H_{P*Q}(x) = H_P(x) \cdot H_{\text{aug}(Q)}$  impose additional restrictions on any hypothetical ring that categorifies the  $\chi$ -Chow polynomial successfully.

## 5. EULERIAN CHOW FUNCTIONS OF EULERIAN POSETS

In this section we will deal again with finite graded bounded posets. In particular, all the discussion at the beginning of Section 4 applies.

**5.1. Basics of Eulerian Chow polynomials.** Let  $n \in \mathbb{Z}_{\geq 0}$ . From a  $d$ -dimensional convex polytope  $\mathcal{P} \subseteq \mathbb{R}^n$  one can construct the poset  $P$  of all of the faces of  $\mathcal{P}$ , where the order is given by the inclusion of sets. Note that  $P$  is bounded and graded, and its rank equals  $d$ . The poset  $P$  fulfills a number of important properties (see, e.g., [Zie95, Theorem 2.7]). One of the most important of such properties is that  $P$  is Eulerian. This property, of fundamental relevance in algebraic combinatorics is defined as follows.

**Definition 5.1** Let  $P$  be a graded bounded poset. We say that  $P$  is *Eulerian* if the Möbius function satisfies  $\mu_{st} = (-1)^{\rho(t)-\rho(s)}$  for every  $s \leq t$  in  $P$ .

We will assume that the reader is acquainted with the basic properties of Eulerian posets, and we refer to [Sta12, Section 3.16] for a more detailed treatment of this topic. We will often use that  $P$  is Eulerian if and only if every interval  $[s, t]$  contains the same number of elements of odd rank and even rank. As an example, the poset  $Q$  appearing in Figure 1 is Eulerian. It is easy to see that this poset  $Q$  cannot be the face poset of a polytope, because face posets of polytopes are in fact atomic lattices while  $Q$  clearly is not.

The following provides a characterization of the Eulerian posets in terms of kernels.

**Proposition 5.2** ([Sta92, Proposition 7.1]) *Let  $P$  a finite graded bounded poset. Then  $P$  is Eulerian if and only if the element  $\varepsilon \in \mathcal{F}_\rho(P)$  given by  $\varepsilon_{st}(x) = (x-1)^{\rho(t)-\rho(s)}$  is a  $(P, \rho)$ -kernel.*

We will often refer to  $\varepsilon$  as the *Eulerian  $P$ -kernel*. Correspondingly, if  $P$  is an Eulerian poset, the  $\varepsilon$ -Chow function of  $P$  will be called the *Eulerian Chow function* of  $P$ .

The left KLS polynomial  $g_P(x)$  arising from the  $P$ -kernel  $\varepsilon$  in an Eulerian poset  $P$  is often called the *toric  $g$ -polynomial* of  $P$ .<sup>4</sup> We refer to the work of Bayer and Ehrenborg [BE00] for a thorough study of toric  $g$ -polynomials of Eulerian posets. As Stanley points out in his book [Sta12, p. 315] the toric  $g$ -polynomial is an exceedingly subtle invariant of the poset  $P$ . It is not difficult to see that the right KLS polynomial  $f_P(x)$  arising from  $\varepsilon$  equals the toric  $g$ -polynomial of the dual poset  $P^*$ .

**Example 5.3** Consider the poset  $Q$  depicted on the right of Figure 1. Below we include the resulting KLS, Chow, and augmented Chow polynomials of this poset.

$$\begin{aligned} f_Q(x) &= -6x^2 - x + 1, \\ g_Q(x) &= -6x^2 - x + 1, \\ H_Q(x) &= x^4 + 12x^3 + 6x^2 + 12x + 1, \\ F_Q(x) &= x^5 + 16x^4 + 18x^3 + 18x^2 + 16x + 1, \end{aligned}$$

<sup>4</sup>We point out an ambiguity in the literature. In some sources, when  $\mathcal{P}$  is a polytope, the *toric  $g$ -polynomial* of  $P$  is defined to be the toric  $g$ -polynomial of the poset of faces of  $\mathcal{P}$  ordered under *containment* (as opposed to under inclusion). This will not be too important for us, as we will be mainly focusing on the posets rather than the polytopes.

$$G_Q(x) = x^5 + 16x^4 + 18x^3 + 18x^2 + 16x + 1.$$

(These should not be confused with their counterparts using the characteristic polynomial as kernel.) Unlike the case of  $\chi$ -Chow polynomials, for which we always had the non-negativity of the left KLS function  $g$  and therefore the unimodality of  $H_Q(x)$  via Theorem 3.12, for  $\varepsilon$ -Chow polynomials these phenomena do not persist.

The following is the key result that allows us to describe Eulerian Chow polynomials in a transparent way.

**Theorem 5.4** *Let  $P$  be an Eulerian poset. The Eulerian Chow polynomial of  $P$  equals the  $h$ -polynomial of the order complex  $\Delta(P)$ .*

The preceding result says that the  $\varepsilon$ -Chow polynomial encodes precisely the number of chains of each size in  $P$ . As Stanley asserts in [Sta12, p. 310] the class of Eulerian posets enjoys remarkable properties concerned with the enumeration of chains, and the above result is one further manifestation of this phenomenon.

For each integer  $n \geq 1$ , consider the element  $\zeta^n \in \mathcal{J}(P)$  given by

$$\zeta^n := \underbrace{\zeta \cdots \zeta}_{n \text{ times}}.$$

By [Sta12, Theorem 3.12.1(c)] it is known that  $\zeta_{st}^n$  equals the number of *multichains* in the closed interval  $[s, t] \subseteq P$  having length  $n - 1$ . That is, the number of ways of choosing elements  $t_1, \dots, t_{n-1}$  such that  $s \leq t_1 \leq t_2 \leq \dots \leq t_{n-1} \leq t$  (repetitions are allowed). Stanley calls the map  $n \mapsto \zeta_p^n$  the “Z-polynomial of  $P$ ” but we will not use this name to avoid confusions with the Z-function defined in Definition 2.5. When  $P$  is Eulerian, we have  $(-1)^{\rho(s)-\rho(t)} \zeta_{st}^n = \mu_{st}^n$  for all  $n \geq 0$ , see [Sta12, Proposition 3.16.1].

*Proof of Theorem 5.4.* If  $\rho(P) = 0$ , then the formula is clearly true, as both polynomials in the statement equal to 1.

For the induction step, we rely on a technical result about the enumeration of chains in graded bounded posets. From the formula in [Sta12, Exercise 3.157(ii)], it is known that

$$h(\Delta(P), x) = (1-x)^{\rho(P)+1} \sum_{n=0}^{\infty} \zeta_p^n x^{n+1}.$$

When  $\rho(P) > 0$ , the recursion for Chow polynomials in equation (5) gives

$$H_P(x) = \sum_{t \neq \widehat{0}} (x-1)^{\rho(t)-1} H_{t, \widehat{1}}(x).$$

Every Chow polynomial appearing on the right hand side of the last equation is on a poset of smaller rank, hence by induction

$$\begin{aligned} H_P(x) &= \sum_{t \neq \widehat{0}} (x-1)^{\rho(t)-1} h(\Delta([t, \widehat{1}])) \\ &= \sum_{t \neq \widehat{0}} (x-1)^{\rho(t)-1} (1-x)^{\rho(P)-\rho(t)+1} \sum_{n \geq 0} \zeta_{t, \widehat{1}}^n x^n \\ &= (1-x)^{\rho(P)+1} \sum_{t \neq \widehat{0}} (-1)^{\rho(t)} \frac{1}{1-x} \sum_{n \geq 0} \zeta_{t, \widehat{1}}^n x^n. \end{aligned}$$

Now, expanding  $\frac{1}{1-x} = \sum_{\ell \geq 0} x^\ell$  and reordering the terms, we have:

$$H_P(x) = (1-x)^{\rho(P)+1} \sum_{\ell \geq 0} \sum_{t \neq \hat{0}} (-1)^{\rho(t)} \sum_{n \geq 0} \zeta_{t, \hat{1}}^n x^{\ell+n}.$$

By using the change of variable  $m := \ell + n$ , we obtain

$$H_P(x) = (1-x)^{\rho(P)+1} \sum_{m \geq 0} \left( \sum_{n=0}^m \sum_{t \neq \hat{0}} (-1)^{\rho(t)-1} \zeta_{t, \hat{1}}^n \right) x^m.$$

To conclude the proof of the theorem, it remains to verify that

$$\zeta_P^m = \sum_{n=0}^m \sum_{t \neq \hat{0}} (-1)^{\rho(t)-1} \zeta_{t, \hat{1}}^n.$$

Observe that the condition on  $P$  being Eulerian implies that  $(-1)^{\rho(t)} = \mu_{\hat{0}, t}$ . So, by using that  $\mu \cdot \zeta^n = \zeta^{n-1}$  for  $n \geq 1$ , we have:

$$\sum_{n=0}^m \sum_{t \neq \hat{0}} (-1)^{\rho(t)-1} \zeta_{t, \hat{1}}^n = - \sum_{n=0}^m \sum_{t \neq \hat{0}} \mu_{\hat{0}, t} \zeta_{t, \hat{1}}^n = - \left( \mu_P + \sum_{n=1}^m \sum_{t \neq \hat{0}} \mu_{\hat{0}, t} \zeta_{t, \hat{1}}^n \right) = -\mu_P - \sum_{n=1}^m (\zeta_P^{n-1} - \zeta_P^n),$$

and the last sum telescopes, and cancels the remaining  $\mu_P$ . This gives exactly  $\zeta_P^m$ , as desired.  $\square$

An immediate conclusion from the last result is that the  $\varepsilon$ -Chow polynomial of an Eulerian poset  $P$  is a non-negative combination of entries of the flag  $h$ -vector of  $P$ . In particular, if  $P$  is Cohen–Macaulay then  $H_P(x)$  has non-negative coefficients. Even though there exist many Eulerian posets that are not Cohen–Macaulay (see, e.g., the poset on the right in Figure 1), it is considerably hard to construct an Eulerian poset whose flag  $h$ -vector attains a negative entry. A subtle result by Bayer and Hetyei [BH01] shows that in fact all Eulerian posets of rank at most 6 have a non-negative flag  $h$ -vector. However, they construct a very complicated example [BH01, Figure 2] in rank 7 for which the flag  $h$ -vector attains a negative entry. Furthermore, modulo the Dehn–Sommerville relations, these flag  $h$ -vector attain a *single* negative entry, equal to  $-1$ . We refer to [Sta12, Solution to Exercise 193(b)] for a further discussion about that example.

The coefficients of the  $\varepsilon$ -Chow polynomial are *sums* of entries of the flag  $h$ -vector, so it can happen that still the presence of several negative entries is compensated by a few positive entries that make the Chow polynomial non-negative. We pose the following question.

**Question 5.5** Is the  $\varepsilon$ -Chow polynomial of an Eulerian poset non-negative?

By the preceding discussion, if there is an example showing that the answer to the above question is negative, it has to be on rank 7 or above, and we expect it to have a very complicated shape.

**5.2. Unimodality and  $\gamma$ -positivity.** Without imposing additional restrictions, the  $\varepsilon$ -Chow polynomial of an Eulerian poset need not be unimodal. However, as we will explain here, when the Eulerian poset comes from a nice geometric object, unimodality and  $\gamma$ -positivity follow from deep results from combinatorial algebraic geometry.

One can generalize face posets of polytopes in different ways. One such generalization appears as follows. A *regular CW complex* is a (finite) collection  $\Gamma$  of non-empty pairwise disjoint open subsets  $\{\sigma_i\}_{i \in I} \subseteq \mathbb{R}^n$  (for some  $n$ ) such that:

- (i) Each closure  $\bar{\sigma}_i$  is homeomorphic to a closed ball  $\mathbb{B}^{n_i}$  (of some dimension  $n_i$ ). Moreover, this homomorphism restricted to the boundary  $\partial\sigma_i$  yields a homomorphism with the sphere  $\mathbb{S}^{n_i-1}$ .
- (ii) The boundary  $\partial\sigma_i$  of each  $\sigma_i$  is the union of some  $\sigma_j$ 's.

The *underlying space* of  $\Gamma$ , denoted  $|\Gamma|$ , is by definition the subspace of  $\mathbb{R}^n$  obtained by the union of all the  $\sigma_i$ 's in  $\Gamma$ . The empty face and the full space  $|\Gamma|$  are called improper cells. The *face poset* of  $\Gamma$  is the poset of all the cells  $\sigma_i$  ordered by  $\sigma_i \leq \sigma_j$  whenever  $\bar{\sigma}_i \subseteq \bar{\sigma}_j$ . We will denote this poset by  $P(\Gamma)$ . Notice that  $P(\Gamma)$  is graded, and the rank function on all proper faces is given by  $\rho(\sigma_i) = n_i + 1$ .

If  $|\Gamma|$  is homeomorphic to a sphere, we call  $\Gamma$  a *regular CW sphere*. These cell complexes are relevant in the present context because the face poset of a regular CW sphere is known to be Eulerian (see, e.g., [Sta12, Proposition 3.8.9]). On the other hand, face posets of regular CW spheres are Cohen–Macaulay. A poset that is simultaneously Eulerian and Cohen–Macaulay is often called a *Gorenstein\* poset* (the asterisk being part of the notation). In other words, face posets of regular CW spheres are Gorenstein\*.

The order complex  $\Delta(P)$  of the face poset  $P = P(\Gamma)$  of a regular CW sphere  $\Gamma$  is often called the *barycentric subdivision* of  $\Gamma$ . In particular, Theorem 5.4 says that if  $P$  is the face poset of a regular CW sphere, the Chow polynomial is the  $h$ -vector of the barycentric subdivision of  $P$ .

When  $\Gamma$  is a polyhedral complex, the barycentric subdivision of  $\Gamma$  can be seen geometrically in a straightforward way and, moreover, it is the boundary complex of a simplicial polytope. The  $h$ -vectors of simplicial polytopes are known to be unimodal thanks to the  $g$ -theorem for simplicial polytopes, proved by Stanley [Sta80] and Billera–Lee [BL81]. In particular, the  $\varepsilon$ -Chow polynomials of the face poset of a polytope is unimodal.

When  $P$  is a graded bounded poset of rank  $r$ , one can encode the flag  $h$ -vector of  $P$  via the **ab**-index. Formally, it is defined as the polynomial  $\Psi_P(\mathbf{a}, \mathbf{b})$  in the non-commutative variables  $\mathbf{a}, \mathbf{b}$  given by

$$\Psi_P(\mathbf{a}, \mathbf{b}) = \sum_{S \subseteq [r-1]} \beta_P(S) u_S$$

where  $u_S := e_1 \cdots e_{r-1}$ , and  $e_i = \mathbf{a}$  if  $i \notin S$  and  $e_i = \mathbf{b}$  if  $i \in S$ . Notice that the transformation that takes the flag  $h$ -vector into the flag  $f$ -vector of  $P$  can be rewritten via the following identity:

$$\Psi_P(\mathbf{a} + \mathbf{b}, \mathbf{b}) = \sum_{S \subseteq [r-1]} \alpha_P(S) u_S$$

A fundamental property of Eulerian posets is that their **ab**-indices can be written in the following form

$$\Psi_P(a, b) = \Phi_P(\mathbf{a} + \mathbf{b}, \mathbf{ab} + \mathbf{ba}),$$

for some polynomial  $\Phi_P(\mathbf{c}, \mathbf{d})$  in the non-commutative variables  $\mathbf{c}$  and  $\mathbf{d}$ . The polynomial  $\Phi_P(\mathbf{c}, \mathbf{d})$  is called the **cd**-index. We refer to [Sta12, Section 3.17] for more details, and to [Bay21] for a thorough exposition about the **cd**-index. The following is a very deep result proved by Karu in [Kar06].

**Theorem 5.6** (Karu) *Let  $P$  be a Gorenstein\* poset. The **cd**-index of  $P$  has non-negative coefficients.*

Using this result one can strengthen the unimodality of  $\varepsilon$ -Chow polynomials of face posets of polytopes in two ways. First, this phenomenon extends to all Gorenstein\* posets, and second, the stronger property of  $\gamma$ -positivity holds true.

**Theorem 5.7** *Let  $P$  be a Gorenstein\* poset. The  $\varepsilon$ -Chow polynomial of  $P$  is  $\gamma$ -positive.*

*Proof.* This follows from combining Theorem 5.4, Theorem 5.6, and an observation made by Gal in [Gal05, p. 237], that the  $\gamma$ -polynomial of the  $h$ -vector of  $\Delta(P)$  equals  $\Phi_P(1, 2t)$ .  $\square$

**5.3. Open questions about Eulerian Chow polynomials.** It is natural to ask for inequalities or properties beyond  $\gamma$ -positivity, for example real-rootedness. The following is an equivalent reformulation of a long-standing and influential open question by Brenti and Welker [BW08].

**Question 5.8** (Brenti and Welker) Let  $P$  be the face poset of a convex polytope. Is the  $\varepsilon$ -Chow polynomial always real-rooted?

They proved that the answer to the above question is affirmative if  $P$  is the face poset of a *simplicial* convex polytope. Furthermore, if  $P$  is the face poset of a simplicial homology sphere (or, more generally, a Boolean cell complex), then one can apply results by Nevo, Petersen, and Tenner [NPT11] to characterize further conditions that the  $\varepsilon$ -Chow polynomial must satisfy.

Even more broadly, Athanasiadis and Kalampogia-Evangelinou have asked whether the  $\varepsilon$ -Chow polynomial of a Gorenstein\* poset is always real-rooted, see [AKE23, Question 5.2]. They proved that many operations that preserve the Gorenstein\* property also preserve the real-rootedness of the  $\varepsilon$ -Chow polynomial. We refer also the work of Athanasiadis and Tzanaki [AT21] for related results.

Numerous questions about Eulerian Chow polynomials are in order. Although we provided a concrete description of the  $\varepsilon$ -Chow polynomial of any Eulerian poset, it is unclear what the *augmented* Chow polynomials are. It is not difficult to see that the left augmented  $\varepsilon$ -Chow polynomial of  $P$  equals the right augmented  $\varepsilon$ -Chow polynomial of the dual poset  $P^*$ . That is, unlike the case of graded posets and  $\kappa = \chi$ , there is no significant distinction between the left and right augmented Chow functions.

**Question 5.9** Let  $P$  be an Eulerian poset. What do the coefficients of the right (or left) augmented  $\varepsilon$ -Chow polynomial enumerate?

We observe that the same question for the  $Z$ -polynomial has been raised by Proudfoot in [Pro18].

In light of the fact that the  $\varepsilon$ -Chow polynomial of the face poset of a polytope is the  $h$ -vector of a simplicial polytope, it is natural to ask whether the left and augmented Chow polynomial can be realized as  $h$ -vectors of simplicial polytopes as well.

**Question 5.10** Let  $P$  be the face poset of a polytope. Are the left (or right) augmented  $\varepsilon$ -Chow polynomials of  $P$  the  $h$ -vectors of some simplicial polytopes?

Based on substantial computational evidence we believe that the answer to the above question is likely affirmative. A related open question by Brenti [Bre24, Problem 2.9] asks whether any monic palindromic polynomial that has only negative real zeros is necessarily the  $h$ -vector of a simplicial polytope. Our experiments even suggest that the *augmented*  $\varepsilon$ -Chow polynomials of face posets of polytopes are real-rooted.

## 6. COXETER CHOW FUNCTIONS OF BRUHAT INTERVALS

In this section we will study various combinatorial aspects of the Chow function arising from the  $R$ -polynomials in intervals of the Bruhat order of a Coxeter group  $(W, S)$ .



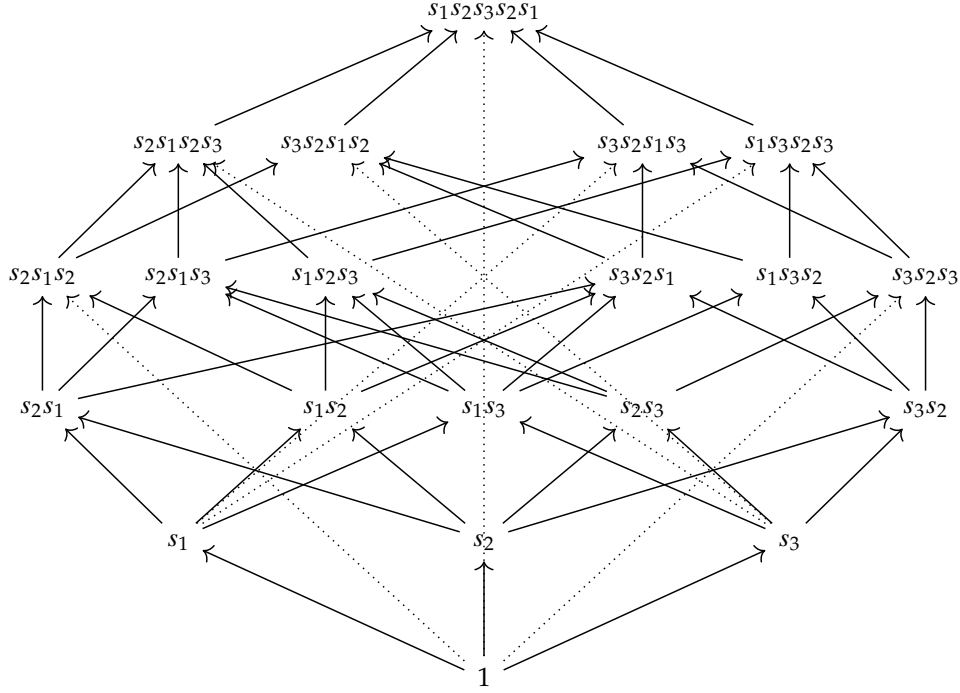


FIGURE 4. The lower ideal generated by  $w = s_1s_2s_3s_2s_1$  in the Bruhat order of  $\mathfrak{S}_4$ . The dotted arrows are the directed edges that we add when we consider the corresponding Bruhat graph  $B(1, w)$ .

**6.1. A short recapitulation.** We briefly recall the necessary definitions in this setting. For a more detailed background we refer to Björner and Brenti's book [BB05].

Let  $(W, S)$  be a Coxeter system and  $T = \{ws w^{-1} \mid w \in W, s \in S\}$  the set of its reflections (in contrast with the set  $S$  of simple reflections). The Bruhat order is the poset defined on  $W$  with relations  $u \leq v$  whenever there exist  $w_0, \dots, w_n$  such that  $w_0 = u$ ,  $w_n = v$  and  $w_i^{-1}w_{i+1} = t \in T$  for all  $i = 1, \dots, n-1$ . This poset is graded, where the rank function is given by  $\rho(w) = \ell(w)$ , where  $\ell(w)$  is the length of any reduced word equal to  $w$ . Furthermore, Bruhat intervals are Eulerian and shellable, and hence Gorenstein\* (see [BB05, Proposition 2.7.5]).

We also define the *Bruhat graph*  $B(W)$  to be the directed graph whose vertices are the elements of  $W$  and whose edges are of the form  $u \rightarrow v$  if  $u^{-1}v = t \in T$ . Similarly, we can define the Bruhat graph  $B(u, v)$  for  $u, v \in W$  by restricting to the interval  $[u, v] \subset W$ . Notice that the Bruhat graph has more edges than the Hasse diagram of the corresponding Bruhat order.

Given an element  $w \in W$ , the elements of the set  $D_R(w) := \{s \in S \mid \rho(ws) < \rho(w)\}$  are called the *right descents* of  $w$ .

The *R-polynomial* of an interval in the Bruhat poset is defined recursively as follows. For  $s \in D_R(v)$ ,

$$R_{uv}(x) = \begin{cases} 1 & \text{if } u = v, \\ R_{us, vs}(x) & \text{if } s \in D_R(u), \\ xR_{us, vs}(x) + (x-1)R_{u, vs}(x) & \text{if } s \notin D_R(u). \end{cases}$$

**Example 6.1** Consider the symmetric group  $\mathfrak{S}_4$ , generated by the simple reflections  $S = \{s_1, s_2, s_3\}$ , where  $s_i$  is the transposition  $(i \ i + 1)$ . The set of reflections is then

$$T = \{s_1, s_2, s_3, s_1s_2s_3, s_2s_3s_2, s_1s_2s_3s_2s_1\}.$$

As an example, we consider  $w = s_1s_2s_3s_2s_1$  and draw the Hasse diagram of the interval  $[1, w]$  in the Bruhat order and the corresponding Bruhat graph in Figure 4. One can compute recursively  $R_{1,w}(x) = x^5 - 3x^4 + 5x^3 - 5x^2 + 3x - 1$ .

One can check that the  $R$ -function is a  $P$ -kernel in the incidence algebra of Bruhat orders (see for example [BB05, Exercise 11]) and thus it is possible to define the *Coxeter Chow function*, or *R-Chow function* for brevity, of a Coxeter group.

Historically, the Coxeter case is the original motivating example [KL79] that led to the development of KLS theory. A striking difference that sets this case apart from the ones studied in Sections 4 and 5 is that it is still not known whether the KLS functions are combinatorially invariant. We will discuss more about this in Section 6.4 below. Moreover it is known that intervals of the Bruhat order are not necessarily Bruhat orders of smaller Coxeter groups, i.e., this property is not hereditary, whilst both being a geometric lattice or an Eulerian poset are hereditary properties.

**6.2. A formula for the Coxeter Chow function.** We now proceed to compute an explicit formula for the  $R$ -Chow function. We refer the interested reader to [BB05, Section 5]. Further combinatorial formulas can be found in [Bre94, Bre98].

In the rest of this section  $\Phi^+$  will denote the positive roots of  $W$ . It will be useful to work with the following classical reparameterization of the  $R$ -polynomials.

**Proposition 6.2** ([BB05, Proposition 5.3.1]) *Let  $u, v \in W$ . Then, there exists a unique polynomial  $\tilde{R}_{u,v}(x) \in \mathbb{N}[x]$  such that*

$$R_{u,v}(x) = x^{\rho_{uv}/2} \tilde{R}_{uv}(x^{1/2} - x^{-1/2}).$$

Recall that a total ordering  $<$  on  $\Phi^+$  is a *reflection ordering* if for all  $\alpha, \beta \in \Phi^+$  and  $\lambda, \mu > 0$  such that  $\lambda\alpha + \mu\beta \in \Phi^+$ , then

$$\text{either } \alpha < \lambda\alpha + \mu\beta < \beta, \quad \text{or } \beta < \lambda\alpha + \mu\beta < \alpha.$$

Since there exists a bijection between  $\Phi^+$  and  $T$ , one can also describe a reflection ordering on  $T$ . Given a reflection ordering on  $\Phi^+$  and a path  $\Delta = (a_0, \dots, a_r)$  in  $B(u, v)$  of length  $r$ , we define the *edge set* of  $\Delta$  to be

$$E(\Delta) = \{a_{i-1}^{-1}a_i \mid i = 1, \dots, r\} \subseteq T$$

and say that  $i \in \{1, \dots, r-1\}$  is a *descent* of  $\Delta$  if  $a_{i-1}^{-1}a_i > a_i^{-1}a_{i+1}$ . We denote the set of descents of a path with  $D(\Delta)$  and set  $\text{des}(\Delta) = |D(\Delta)|$ . Similarly, one can define the *ascent set* of  $\Delta$  and the quantity  $\text{asc}(\Delta) = \ell(\Delta) - \text{des}(\Delta) - 1$ .

**Theorem 6.3** ([Dye93] [BB05, Theorem 5.3.4]) *For every  $u, v \in W$ ,*

$$\tilde{R}_{uv}(x) = \sum_{\substack{\Delta \in B(u,v) \\ \text{des}(\Delta)=0}} x^{\ell(\Delta)}.$$

By combining both of Proposition 6.2 and Theorem 6.3, we have the following immediate consequence.

**Theorem 6.4** *Let  $W$  be a Coxeter group with a reflection order  $<$  and two elements  $u, v \in W$ . Then,*

$$R_{uv}(x) = \sum_{\substack{\Delta \in B(u,v) \\ \text{des}(\Delta)=0}} x^{\frac{\rho_{uv}-\ell(\Delta)}{2}} (x-1)^{\ell(\Delta)}$$

Now we have all the ingredients to state and prove the combinatorial description of the Coxeter Chow function.

**Theorem 6.5** *Let  $W$  be a Coxeter group with a reflection order  $<$  and two elements  $u, v \in W$ . Then,*

$$H_{uv}(x) = \sum_{\Delta \in B(u,v)} x^{\frac{\rho_{uv}-\ell(\Delta)}{2} + \text{asc}(\Delta)} = \sum_{\Delta \in B(u,v)} x^{\frac{\rho_{uv}-\ell(\Delta)}{2} + \text{des}(\Delta)}.$$

*Proof.* The formulas are clearly true if  $\rho_{uv} \leq 1$ . For  $\rho_{uv} \geq 2$ , we start by unravelling the recursion and writing  $H$  only in terms of  $R$  (notice the  $-1$  in the exponent of  $(x-1)$  because we reduced it).

$$\begin{aligned} H_{uv}(x) &= \sum_{\substack{\mathcal{U}=\{u_1, \dots, u_r\} \\ u=u_0 < \dots < u_{r+1}=v}} \prod_{i=1}^{r+1} \sum_{\substack{\Delta_i \in B(u_{i-1}, u_i) \\ \text{des}(\Delta_i)=0}} x^{\frac{\rho_{u_{i-1}u_i}-\ell(\Delta_i)}{2}} (x-1)^{\ell(\Delta_i)-1} \\ &= \sum_{\mathcal{U}} \sum_{\substack{\Delta \in B(u,v) \\ \mathcal{U} \subseteq \Delta \\ \text{des}(\Delta) \subseteq \mathcal{U}}} x^{\frac{\rho_{uv}-\ell(\Delta)}{2}} (x-1)^{\ell(\Delta)-(r+1)} \\ &= \sum_{\Delta \in B(u,v)} x^{\frac{\rho_{uv}-\ell(\Delta)}{2}} \sum_{\substack{\mathcal{U} \subseteq \Delta \setminus \{u,v\} \\ \mathcal{U} \supseteq \text{des}(\Delta)}} (x-1)^{\ell(\Delta)-(r+1)}. \end{aligned}$$

The inner sum is equal to  $x^{\text{asc}(\Delta)}$  as

$$\begin{aligned} x^{\text{asc}(\Delta)} &= x^{\ell(\Delta) - \text{des}(\Delta) - 1} = (x-1+1)^{\ell(\Delta) - \text{des}(\Delta) - 1} \\ &= \sum_{j=0}^{\ell(\Delta) - \text{des}(\Delta) - 1} \binom{\ell(\Delta) - \text{des}(\Delta) - 1}{j} (x-1)^{\ell(\Delta) - \text{des}(\Delta) - 1 - j} \\ &= \sum_{r=\text{des}(\Delta)}^{\ell(\Delta) - 1} \binom{\ell(\Delta) - \text{des}(\Delta) - 1}{r - \text{des}(\Delta)} (x-1)^{\ell(\Delta) - 1 - r}. \end{aligned}$$

This is clearly counting the subsets  $\mathcal{U}$  as desired. Then,

$$H_{uv}(x) = \sum_{\Delta \in B(u,v)} x^{\frac{\rho_{uv}-\ell(\Delta)}{2} + \text{asc}(\Delta)}.$$

The statement with descents comes from the fact that  $\text{des}(\Delta) = \ell(\Delta) - \text{asc}(\Delta) - 1$  and the polynomial  $H_{uv}(x)$  is symmetric with center  $\frac{\rho_{uv}-1}{2}$ .  $\square$

**Example 6.6** Consider the interval from Example 6.1. We have 73 paths in the directed graph  $B(1, w)$ . Let us also fix the reflection order

$$s_1 < s_1 s_2 s_1 < s_1 s_2 s_3 s_2 s_1 < s_2 < s_2 s_3 s_2 < s_3.$$

A straightforward (and not enlightening) computation shows that there are

- 1 path of length 1 with 0 descents,
- 2 paths of length 3 with 0 descents,
- 4 paths of length 3 with 1 descent,
- 2 paths of length 3 with 2 descents,

- 1 path of length 5 with 0 descents,
- 14 paths of length 5 with 1 descent,
- 34 paths of length 5 with 2 descents,
- 14 paths of length 5 with 3 descents,
- 1 path of length 5 with 4 descents,

By Theorem 6.5 we can conclude that

$$\begin{aligned} H_{1,w}(x) &= x^2 \\ &+ 2x^1 + 4x^2 + 2x^3 \\ &+ x^0 + 14x^1 + 34x^2 + 14x^3 + x^4 \\ &= 1 + 16x + 39x^2 + 16x^3 + x^4 \end{aligned}$$

**Remark 6.7** By considering the whole Bruhat poset on  $\mathfrak{S}_n$ , and denoting the corresponding R-Chow polynomial by  $H_{\mathfrak{S}_n}(x)$ , we obtain the following first few values:

$$H_{\mathfrak{S}_n}(x) = \begin{cases} 1 & n = 1, \\ 1 & n = 2, \\ x^2 + 3x + 1 & n = 3, \\ x^5 + 20x^4 + 84x^3 + 84x^2 + 20x + 1 & n = 4, \\ x^9 + 115x^8 + 2856x^7 + 21429x^6 + 56840x^5 + 56840x^4 + 21429x^3 + 2856x^2 + 115x + 1 & n = 5. \end{cases}$$

The sequences of coefficients of these polynomials do not appear in the OEIS [Slo18]. In the authors opinion, providing a closed formula for these polynomials or, at least, an efficient way of computing them would be very interesting.

**Remark 6.8** We have not been able to find a nice analog of Theorem 6.5 for the right and left augmented Chow functions arising in this setting.

**6.3. The complete cd-index and gamma-positivity.** The ground breaking work of Elias and Williamson [EW14], who proved the non-negativity conjecture for Kazhdan–Lusztig polynomials of Bruhat intervals of Coxeter groups, implies via Theorem 3.12 that Coxeter Chow polynomials are unimodal. It is reasonable to inquire whether stronger inequalities between among the coefficients hold true.

As mentioned earlier, Bruhat intervals are Eulerian posets and, moreover, they admit a special shelling [BB05, Theorem 2.7.5]. In particular, they are Gorenstein\*, so that one can apply Karu’s result Theorem 5.6 to conclude that their cd-index has non-negative coefficients. We refer to Reading’s [Rea04] article for a thorough study of the cd-index of Bruhat intervals. Despite its relevance in this context, the cd-index is not enough to compute Kazhdan–Lusztig or Coxeter Chow polynomials. In order to do this, one needs to define a more complicated counterpart of the cd-index called the *complete cd-index*. This was introduced in the work of Billera and Brenti [BB11]. Following their notation, the complete cd-index of the interval  $[u, v]$  in the Bruhat order of the Coxeter group  $W$  is denoted by  $\tilde{\psi}_{uv}$ . This is a polynomial in the non-commutative variables  $\mathbf{c}$  and  $\mathbf{d}$ . We will not require the technical subtleties behind the actual definition of the complete cd-index, and we refer the reader to Billera and Brenti’s paper for that purpose. However, we can state one of their theorems, which establishes a key property that  $\tilde{\psi}$  satisfies, and which helps us to describe the Coxeter Chow function as a specialization.

**Theorem 6.9** ([BB11, Proposition 2.9]) *Let  $u, v \in W$  and  $u < v$ . Then,*

$$\tilde{\psi}_{uv}(\mathbf{a} + \mathbf{b}, \mathbf{ab} + \mathbf{ba}) = \sum_{\Delta \in B(u,v)} w(\Delta),$$

where each  $w(\Delta)$  is a non-commutative monomial of degree  $\ell(\Delta) - 1$  in the variables  $\mathbf{a}$  and  $\mathbf{b}$ , having  $\mathbf{a}$  as  $i$ -th letter from the left if  $i \notin D(\Delta)$ , and  $\mathbf{b}$  if  $i \in D(\Delta)$ .

The preceding result allows us to obtain  $H_{uv}(x)$  as a specialization of  $\tilde{\psi}_{uv}(\mathbf{c}, \mathbf{d})$ .

**Theorem 6.10** *Let  $u, v \in W$  and  $u < v$ . Then,*

$$H_{uv}(x) = x^{\frac{\rho_{uv}-1}{2}} \tilde{\psi}_{uv}(x^{-1/2} + x^{1/2}, 2).$$

*Proof.* Using the formula in Theorem 6.9 we set  $\mathbf{a} = x^{-\frac{1}{2}}$  and  $\mathbf{b} = x^{\frac{1}{2}}$ . Then, for a given path in the Bruhat graph  $\Delta$  we get

$$w(\Delta) \Big|_{\mathbf{a}=x^{-1/2}, \mathbf{b}=x^{1/2}} = x^{-\frac{1}{2}(\ell(\Delta) - \text{des}(\Delta) - 1) + \frac{1}{2} \text{des}(\Delta)}.$$

The result then follows directly from our Theorem 6.5.  $\square$

A remarkable consequence of Theorem 6.10 is that the  $\gamma$ -polynomial associated to  $H_{uv}(x)$  is a non-negative specialization of the complete  $\mathbf{cd}$ -index  $\tilde{\psi}$ .

**Corollary 6.11** *Let  $u, v \in W$  and  $u < v$ . Then, the  $\gamma$ -polynomial associated to  $H_{uv}(x)$  can be obtained from the complete  $\mathbf{cd}$ -index as*

$$\gamma(H_{uv}, x^2) = x^{\rho_{uv}} \tilde{\psi}_{uv}(x^{-1}, 2).$$

*Proof.* By definition, we have that

$$H_{uv}(x) = (1+x)^{\rho_{uv}-1} \gamma\left(H_{uv}, \frac{x}{(x+1)^2}\right).$$

In particular, using the change of variable  $y^2 = \frac{x}{(1+x)^2}$  or, equivalently,  $y = (x^{1/2} + x^{-1/2})^{-1}$ , we have the following chain of equalities:

$$\gamma(H_{uv}, y^2) = \frac{1}{(1+x)^{\rho_{uv}-1}} H_{uv}(x) = \frac{1}{(1+x)^{\rho_{uv}-1}} x^{\frac{\rho_{uv}-1}{2}} \tilde{\psi}_{uv}(y^{-1}, 2) = y^{\rho_{uv}} \tilde{\psi}_{uv}(y^{-1}, 2),$$

as desired.  $\square$

**Example 6.12** We continue with Example 6.1. The computations in [BB11, Example 2.4] show that

$$\tilde{\psi}_{1,w}(\mathbf{c}, \mathbf{d}) = \mathbf{c}^4 + \mathbf{dc}^2 + 2\mathbf{cdc} + 2\mathbf{c}^2\mathbf{d} + 2\mathbf{d}^2 + 2\mathbf{c}^2 + \mathbf{1}.$$

Then,

$$\begin{aligned} H_{1,w}(x) &= x^2 \left[ \frac{(x+1)^4}{x^2} + 2\frac{(x+1)^2}{x} + 4\frac{(x+1)^2}{x} + 4\frac{(x+1)^2}{x} + 8 + 2\frac{(x+1)^2}{x} + 1 \right] \\ &= (x+1)^4 + 12x(x+1)^2 + 9x^2 \\ &= x^4 + 16x^3 + 39x^2 + 16x + 1. \end{aligned}$$

Indeed,  $\gamma(H_{uv}, x) = 1 + 12x + 9x^2$ .

Billera and Brenti conjecture in [BB11, Conjecture 6.1] that the coefficients of the complete  $\mathbf{cd}$ -index of any Bruhat interval are non-negative. The preceding result implies that if their

conjecture is true, then Coxeter Chow polynomials are  $\gamma$ -positive. In other words, their conjecture implies the following conjecture.

**Conjecture 6.13** Coxeter Chow polynomials of Bruhat intervals of Coxeter groups are always  $\gamma$ -positive.

As Billera and Brenti note in [BB11, Section 6], the result by Karu in [Kar06] implies that *some* coefficients of the complete  $\mathbf{cd}$ -index are non-negative. Furthermore, Karu proved in another paper [Kar13] the non-negativity of further coefficients of the complete  $\mathbf{cd}$ -index. However, it is unclear whether the currently known inequalities concerning coefficients of the complete  $\mathbf{cd}$ -index are enough to prove our  $\gamma$ -positivity conjecture. Moreover, in striking similarity to Conjecture 4.26 and Question 5.8, we dare to formulate the following (much more ambitious) conjecture.

**Conjecture 6.14** Coxeter Chow polynomials of Bruhat intervals of Coxeter groups are always real-rooted.

We have verified the validity of this conjecture on various small cases, including all intervals of symmetric groups  $\mathfrak{S}_n$  for  $n \leq 6$ .

**6.4. Combinatorial invariance.** The combinatorial invariance of Kazhdan–Lusztig polynomials is a long-standing conjecture attributed independently to Lusztig and Dyer [Dye87].

**Conjecture 6.15** (Combinatorial invariance conjecture [Dye87]) The Kazhdan–Lusztig polynomials of Coxeter groups are combinatorially invariant.

The conjecture has attracted much research recently and has been resolved in a number of cases, see for example [Dye93, Bre94, Bre04, BCM06, Inc06, Inc07, Bre09, BMS16, Mar16, Mar18, Pat21, DVB<sup>+</sup>21, BBD<sup>+</sup>22, BLP23, BG23, BG24]. We would like to point out that Conjecture 6.15 can be recast into the theory of Chow functions.

**Theorem 6.16** *The combinatorial invariance conjecture for Kazhdan–Lusztig polynomials of Coxeter groups is equivalent to the combinatorial invariance conjecture for Coxeter Chow functions.*

*Proof.* By definition, the Kazhdan–Lusztig polynomials of Coxeter groups determine and are determined by the  $R$ -polynomials, and the  $R$ -polynomials determine and are determined by the  $R$ -Chow functions.  $\square$

It would be interesting if the combinatorial invariance conjecture for  $R$ -Chow functions can shed some light on Conjecture 6.15.

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(L. FERRONI) SCHOOL OF MATHEMATICS, INSTITUTE FOR ADVANCED STUDY, PRINCETON, NJ, USA.  
*Email address:* ferroni@ias.edu

(J. P. MATHERNE) DEPARTMENT OF MATHEMATICS, NORTH CAROLINA STATE UNIVERSITY, RALEIGH, NC, USA.  
*Email address:* jpmather@ncsu.edu

(L. VECCHI) DEPARTMENT OF MATHEMATICS, KTH ROYAL INSTITUTE OF TECHNOLOGY, STOCKHOLM, SWEDEN.  
*Email address:* lvecchi@kth.se